

# 不确定时滞关联大系统的全局稳定模糊容错控制

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**摘要:** 研究了一类带有时变时滞的不确定非线性关联大系统的自适应模糊容错控制问题. 用有界的参考信号代换模糊逼近器输入中的未知时滞信号, 使得控制器的设计与应用不再依赖于时滞假设条件, 使得控制器的设计和控制方法的应用更为方便. 容错反推控制技术和自适应技术相结合来处理代换误差和逼近误差. 所提出的方案能有效补偿所有 4 种类型的执行器故障, 同时还可保证闭环系统的全局稳定性. 仿真结果进一步验证了本文方法的有效性.

**关键词:** 容错控制; 时滞; 全局稳定性; 模糊逼近; 关联大系统

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## Fuzzy fault-tolerant control for global stabilization of uncertain time-delay large-scale systems

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**Abstract:** The fuzzy fault-tolerant control problem is studied for global stabilization of a class of uncertain nonlinear interconnected large-scale systems with unknown time varying time delays. The unknown delayed input signals of the fuzzy approximator are substituted by the bounded references signals, and as a result, the control design and application are not dependent on the delay assumptions any more, so that the convenience of the controller design and application is greatly improved. The fault-tolerant backstepping control and the adaptive control technique are combined to deal with the errors of the replacement and the approximation. The proposed control scheme can compensate for all of the four types of actor faults efficiently, and global stability of the closed-loop system is guaranteed. Simulation results are provided to show the effectiveness of the control approach.

**Key words:** fault-tolerant control; time-delay; global stability; fuzzy approximation; interconnected large-scale systems

### 1 引言(Introduction)

非线性系统控制中, 反推控制(backstepping control, BC)是一种重要的设计方法. 近年来, 对不确定非线性时滞系统反推控制的研究, 受到了众多学者的广泛关注.

通过构造 Lyapunov-Krasovskii 或 Lyapunov-Razumikhin 泛函, 可以消除这类系统中时滞对闭环系统稳定性的影响, 如文献 [1–6] 以及实际应用如二阶化学反应器<sup>[7]</sup>等. 值得指出的是, 时滞系统控制器的设计

常依赖于对系统未知时滞所做的假设条件, 如系统时滞为已知常数、未知常数、有界的未知时变时滞和时变时滞  $d(t)$  的导数满足  $\dot{d}(t) < d^* < 1$  等. 如何判定某一实际系统的时滞是否满足时滞假设条件, 是这类控制方法在实际应用时面临的主要困难. 这类系统中不确定项对闭环系统稳定性的影响, 可通过引入模糊逻辑系统或神经网络逼近器予以消除. 由于自适应方法常常被用来处理逼近误差, 因此这类控制方法也被称为基于逼近器的自适应反推控制. 在这个研究领域,

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最近发展出两种可得全局稳定结果的方法. 一种方法采用代换技术<sup>[4,8-9]</sup>, 将未知函数的输入替换为有界的系统参考信号, 从而使得逼近器对未知函数的逼近始终成立. 另一种方法采用复合切换技术<sup>[10-12]</sup>, 通过在逼近器所成立的紧集外部设置额外的控制律保证闭环系统的全局稳定性. 基于代换的方法结构简单, 但主要适用于系统不确定项仅含系统输出变量 $y$ 的系统; 基于切换的方法可适用于系统不确定项含任意状态变量, 但控制器结构复杂.

针对日益复杂的控制系统, 反推控制也与容错控制(fault-tolerant control, FTC)相结合, 发展出基于FTC的反推控制方法, 显著提高了复杂非线性控制系统的可靠性, 如文献[13-17]等. 值得注意的是, 针对时滞非线性系统容错控制的研究结果较少, 仅有文献如[15-16]给出了基于BC和FTC的控制结果, 但控制器的设计依赖于时滞假设条件 $0 \leq \tau_i(t) \leq \bar{\tau}_i, \dot{\tau}_i(t) < \vartheta_i^* < 1$ .

本文首先采用模糊逼近器和时滞代换技术处理系统中的不确定项和未知时滞, 并基于FTC的理论构建了全局稳定的自适应容错控制器. 控制器的设计过程不再依赖于时滞假设条件, 大大增加了控制器设计与应用的便易性. 所考虑的4种执行器故障模型均可得到有效补偿.

本文中, 对于未知常数 $a$ 、向量 $B$ 和矩阵 $C$ ,  $\hat{a}$ 表示 $a$ 的估计值,  $\tilde{a}$ 表示 $a$ 与 $\hat{a}$ 之间的差, 即 $\tilde{a} = a - \hat{a}$ ;  $\|B\|$ 表示 $B$ 的2-范数,  $\lambda_{\max}(C)$ 表示矩阵 $C$ 的最大特征值.

## 2 问题描述(Problem formulation)

考虑 $N$ 个非线性子系统相互关联的大系统, 其第 $i$ 个子系统为

$$\begin{cases} \dot{x}_{i,j}(t) = x_{i,j+1}(t) + f_{i,j}(\bar{x}_{i,j}(t)) + \sum_{k=1}^N h_{i,j,k}(y_k(t - d_k(t))), \\ j = 1, \dots, n_i - 1, i = 1, \dots, N, \\ \dot{x}_{i,n_i}(t) = \omega_i^T u_i(t) + f_{i,n_i}(x(t)) + \sum_{k=1}^N h_{i,n_i,k}(y_k(t - d_k(t))), \\ y_i(t) = x_{i,1}(t), \end{cases} \quad (1)$$

其中:  $x_i = [x_{i,1} \ \dots \ x_{i,n_i}]^T$ 为第 $i$ 个子系统的系统状态,  $y_i$ 为该子系统的系统输出,  $\bar{x}_{i,j} = [x_{i,1} \ \dots \ x_{i,j}]^T$ ;  $\omega_i^T = [\omega_{i,1} \ \omega_{i,2} \ \dots \ \omega_{i,m_i}] \in \mathbb{R}^{m_i}$ 为常数向量,  $u_i = [u_{i,1} \ u_{i,2} \ \dots \ u_{i,m_i}]^T \in \mathbb{R}^{m_i}$ 为系统输入, 同时也是执行器的输出, 下标 $m_i$ 表示执行器的个数, 注意本文考虑了可能发生的执行器失效情况; 第 $k, i$ 个子系统之间的关联项 $h_{i,j,k}(y_k(t - d_k(t)))$ 为未知光滑函数,  $d_k(t)$ 为未知时变时滞.

控制目标: 所考虑的执行器失效情况均可得到有效的补偿, 同时保证闭环系统全局一致最终有界(glo-

bal uniformly ultimately bounded, GUUB), 跟踪误差可以收敛到原点附近的一个小邻域内.

**假设 1** 在区间 $[0, +\infty)$ 上, 参考信号 $y_{i,r}(t)$ 及其前 $n_i$ 阶导数已知, 分段连续且有界.

**注 1** 一般来说, 控制系统存在的时滞会影响系统的性能, 因而对时滞系统控制问题的研究, 具有很强的理论与现实意义.

文中式(1)所示为一类含有信号传递延迟的关联大系统的模型, 许多实际的控制系统具有或可转化为这种结构, 如冷轧机、互联双倒立摆等. 然而现有的研究结果一般依赖于对系统时滞所做的假设条件, 如文献[1-4]等. 与之前研究不同的是, 本文在去除对系统时滞假设条件的基础上, 对系统(1)设计了全局稳定的容错控制器.

本文研究的另一个突出意义在于, 若仅考虑子系统间信号传递的延迟, 可以去除文献[4, 8-9]中在应用变量代换技巧时对未知函数所作的限制, 从而扩大这一方法的应用范围, 即便系统可能存在执行器故障.

## 3 预处理(Preliminaries)

为了便于表达, 将式(1)中的时滞关联项写为

$$\sum_{k=1}^N h_{i,j,k}(y_k(t - d_k(t))) = h_{i,j}(y_d), \quad (2)$$

其中 $y_d = [y_1(t - d_1(t)) \ \dots \ y_N(t - d_N(t))]^T$ , 于是有

$$\begin{aligned} h_{i,j}(y_d) &= [h_{i,j}(y_d) - h_{i,j}(y_{rd})] + \\ & [h_{i,j}(y_{rd}) - h_{i,j}(y_r)] + h_{i,j}(y_r) = \\ & p_{i,j} + q_{i,j} + h_{i,j}(y_r), \end{aligned} \quad (3)$$

其中:

$$\begin{aligned} y_{rd} &= [y_{1,r}(t - d_1(t)), \dots, y_{N,r}(t - d_N(t))]^T, \\ y_r &= [y_{1,r}(t), \dots, y_{N,r}(t)]^T. \end{aligned}$$

代换误差 $p_{i,j}$ 和 $q_{i,j}$ 为

$$\begin{aligned} p_{i,j} &= h_{i,j}(y_d) - h_{i,j}(y_{rd}), \\ q_{i,j} &= h_{i,j}(y_{rd}) - h_{i,j}(y_r). \end{aligned} \quad (4)$$

对于光滑函数 $f$ , 有 $|f(x) - f(y)| \leq l\|x - y\|$ , 于是有

$$|p_{i,j}| \leq \|y_d - y_{rd}\| l_{i,j,1}, \quad (5)$$

$$|q_{i,j}| \leq \|y_{rd} - y_r\| l_{i,j,2}, \quad (6)$$

这里的 $l_{i,j,1}$ 和 $l_{i,j,2}$ 为未知的Lipschitz常数. 对于式(5), 定义

$$l_{i,1} = \max_{1 \leq j \leq n_i} l_{i,j,1},$$

于是有

$$|p_{i,j}| \leq \|y_d - y_{rd}\| l_{i,1}, \quad (7)$$

对于式(6), 由假设1可知,  $\|y_{rd} - y_r\|$ 有界, 故代换误差 $q_{i,j}$ 有界, 因而存在未知常数 $\psi_{i,j,1}$ 满足

$$|q_{i,j}| \leq \psi_{i,j,1}. \quad (8)$$

由式(3)可知, 系统(1)可写为

$$\begin{cases} \dot{x}_{i,j} = x_{i,j+1} + f_{i,j}(\bar{x}_{i,j}) + h_{i,j}(y_r) + \\ \quad p_{i,j} + q_{i,j}, \\ \quad j = 1, \dots, n_i - 1, i = 1, \dots, N, \\ \dot{x}_{i,n_i} = \omega_i^T u_i + f_{i,n_i}(x_i) + h_{i,n_i}(y_r) + \\ \quad p_{i,n_i} + q_{i,n_i}. \end{cases} \quad (9)$$

采用模糊逻辑系统作为系统(9)中未知函数的逼近器. 若采用单点模糊化、乘积运算的模糊蕴含规则、重心法解模糊和高斯函数的隶属度函数, 则该模糊逼近器可表示为

$$f(x|\theta) = \sum_{l=1}^M \bar{y}_l \phi_l(x) = \theta^T \phi(x), \quad (10)$$

其中:  $x = [x_1 \ \dots \ x_n]^T$  为逼近器的输入;  $f(x|\theta)$  为逼近器的输出;  $\theta = (\bar{y}_1, \dots, \bar{y}_M)$  为未知参数向量,  $\bar{y}_l = \max_{y \in \mathbb{R}} G^l(y)$ ;  $\phi(x) = [\phi_1(x) \ \dots \ \phi_M(x)]^T$  为模糊基函数向量,  $M$  为模糊规则集合中的规则数目. 根据模糊逻辑系统的逼近定理<sup>[18]</sup>, 对于紧集  $\Omega_{\text{Fuzzy}} \in \mathbb{R}^n$  中的连续非线性函数  $F(x)$ , 存在式(10)所示的模糊逻辑系统, 使得

$$F(x) = \theta^T \phi(x) + \varepsilon(x), \quad (11)$$

且存在未知常数  $\psi > 0$ , 使得逼近误差

$$\varepsilon(x) < \psi.$$

对于式(9)中的关联项  $h_{i,j}(y_r)$ ,  $j = 1, \dots, n_i$ , 有

$$h_{i,j}(y_r) = \theta_{i,j}^T \phi_{i,j}(y_r) + \varepsilon_{i,j}(y_r). \quad (12)$$

由式(11), 存在未知常数  $\psi_{i,j,2} > 0$ , 使得  $|\varepsilon_{i,j}| < \psi_{i,j,2}$ . 令  $e_{i,j} = q_{i,j} + \varepsilon_{i,j}$ , 结合式(8), 则有

$$e_{i,j} \leq |q_{i,j}| + |\varepsilon_{i,j}| \leq \psi_{i,j,1} + \psi_{i,j,2}. \quad (13)$$

令  $\psi_i = \max_{1 \leq j \leq n_i} \{\psi_{i,j,1} + \psi_{i,j,2}\}$ , 则

$$e_{i,j} \leq \psi_i. \quad (14)$$

由式(14)可知, 未知常数  $\psi_i$  中包含了逼近误差和代换误差的一部分, 它将在下文中采用自适应的方法进行处理. 将式(12)和  $e_{i,j}$  代入式(9)有

$$\begin{cases} \dot{x}_{i,j} = x_{i,j+1} + f_{i,j}(\bar{x}_{i,j}) + \theta_{i,j}^T \phi_{i,j}(y_r) + \\ \quad p_{i,j} + e_{i,j}, \\ \quad j = 1, \dots, n_i - 1, i = 1, \dots, N, \\ \dot{x}_{i,n_i} = \omega_i^T u_i + f_{i,n_i}(x_i) + \theta_{i,n_i}^T \phi_{i,n_i}(y_r) + \\ \quad p_{i,n_i} + e_{i,n_i}. \end{cases} \quad (15)$$

考虑如下4种执行器失效模型, 即损伤(loss of effectiveness, LOE)、卡死(lock in place, LIP)、飞车或饱和

(hard over fault, HOF)、松浮(float), 如下所示:

$$u_i(t) = \begin{cases} u_i^c(t), & \forall t > 0, \text{ no-failure,} \\ k_i(t)u_i^c(t), & \forall t \geq t_i^F, \text{ LOE,} \\ u_i^c(t_i^F), & \forall t \geq t_i^F, \text{ LIP,} \\ \underline{u}_i \text{ 或 } \bar{u}_i, & \forall t \geq t_i^F, \text{ HOF,} \\ 0, & \forall t \geq t_i^F, \text{ float,} \end{cases} \quad (16)$$

其中:  $0 < k_i(t) < 1$ ;  $u_i^c(t)$  为系统控制器的输出, 同时也是执行器的输入;  $u_i(t)$  为执行器的输出;  $u_i^c(t_i^F)$  为执行器卡死时的输出值;  $\bar{u}_i$  和  $\underline{u}_i$  为  $u_i^c(t)$  的上下限;  $t_i^F$  为执行器发生损伤的时间; 下标  $i$  表示第  $i$  个执行器. 针对本文系统(1), 这些模型可以用公式表示为

$$\begin{cases} u_{i,k}(t) = k_{i,k}(t)u_{i,k}^c(t) + u_{i,k}^F, & \forall t \geq t_{i,k}^F, \\ k_{i,k}(t)u_{i,k}^F = 0, \end{cases} \quad (17)$$

其中: 下标  $i$  表示系统(1)中第  $i$  个子系统; 下标  $k$  表示该子系统中第  $k$  个执行器;  $k_{i,k}(t) \in [0, 1]$  为常数;  $1 \leq k \leq m_i$ . 当  $k_{i,k}(t) = 1$ ,  $u_{i,k}^F = 0$  时, 无故障; 当  $0 < k_{i,k}(t) < 1$ ,  $u_{i,k}^F = 0$  时, LOE; 当  $k_{i,k}(t) = 0$ ,  $u_{i,k}^F = u_{i,k}^c(t_{i,k}^F)$  时, LIP; 当  $k_{i,k}(t) = 0$ ,  $u_{i,k}^F = \bar{u}_{i,k}$  或  $u_{i,k}^F = \underline{u}_{i,k}$  时, HOF; 当  $k_{i,k}(t) = 0$ ,  $u_{i,k}^F = 0$  时, float. 由式(17)可知

$$\sum_{k=1}^{m_i} u_{i,k} = \sum_{k=k_1, \dots, k_p} k_{i,k} u_{i,k}^c + \sum_{k \neq k_1, \dots, k_p} u_{i,k}^F. \quad (18)$$

记  $\sum_{k=k_1, \dots, k_p}$  为  $\sum_1$ ,  $\sum_{k \neq k_1, \dots, k_p}$  为  $\sum_2$ , 则有

$$\sum_{k=1}^{m_i} u_{i,k} = \sum_1 k_{i,k} u_{i,k}^c + \sum_2 u_{i,k}^F, \quad (19)$$

于是有

$$\begin{aligned} \omega_i^T u_i &= \sum_{k=1}^{m_i} \omega_{i,k} u_{i,k} = \\ &= \sum_1 \omega_{i,k} k_{i,k} u_{i,k}^c + \sum_2 \omega_{i,k} u_{i,k}^F. \end{aligned} \quad (20)$$

为了构造合适的控制器, 本文采用下式来构成控制器输出  $u_{i,k}^c$ <sup>[14, 19-20]</sup>:

$$u_{i,k}^c = b_{i,k}(x_{i,n_i})u_{i0}, \quad (21)$$

其中:  $0 < \underline{b}_{i,k} \leq b_{i,k}(x_{i,n_i}) \leq \bar{b}_{i,k}$ ,  $0 \leq k \leq m_i$ ,  $\underline{b}_{i,k}$  和  $\bar{b}_{i,k}$  分别是  $b_{i,k}(x_{i,n_i})$  取值的上下界.  $u_{i0}$  是下一小节将要基于反推控制方法设计的自适应控制器. 将式(21)代入式(20), 有

$$\omega_i^T u_i = \sum_1 \omega_{i,k} k_{i,k} \underline{b}_{i,k} u_{i0} + \sum_2 \omega_{i,k} u_{i,k}^F. \quad (22)$$

**假设 2** 对于关联大系统(1)的任何一个子系统来说, 若其中有任意不大于  $m_i - 1$  个执行器发生 LIP, HOF 或 float, 剩余的执行器仍可驱使闭环系统达到上述控制目标. 这也是研究容错控制问题的基本假设.

**注2** 在式(3)中, 本文提出了时滞代换的方法来处理系统中的时滞项, 可以使得控制器的设计不再依赖于时滞假设条件, 进而增加系统控制器设计的便利性. 这样的时滞代换处理方法, 使得式(12)中模糊逼近器 $\theta_{i,j}^T \phi_{i,j}(y_r) + \varepsilon_{i,j}(y_r)$ 的输入为有界的系统参考信号 $y_r$ , 故该模糊逼近器与系统变量 $x_{i,j}$ 无关, 所以 $x_{i,j}$ 可在全局范围内取值, 即本文的稳定性结果将是全局性的.

在容错控制方面, 现有一些研究结果如[13-16]等仅考虑了LOE和LIP模型. 由于松浮(操纵面脱离控制)、飞车或饱和(执行器处于极限位置)这两种故障类型也可导致严重的故障(如1985年日本的flight123, 2002年阿拉斯加的flight85), 故在设计容错控制器时考虑到这两种执行器故障的影响, 具有重要的现实意义.

## 4 控制器设计(Controller design)

### 4.1 容错反推控制器设计(FTC design)

对于系统(15), 为了避免子系统每一阶均需引入未知参数 $\theta_{i,j}$ 的参数自适应律, 本文定义

$$\theta_i = [\theta_{i,1}^T \cdots \theta_{i,n_i}^T]^T, \quad (23)$$

记 $\phi_{i,j}$ 维零向量为 $0_{(\phi_{i,j})} = [0 \cdots 0]^T$ , 则可定义

$$\varphi_{i,j} = [0_{\phi_{i,1}} \cdots 0_{\phi_{i,j-1}} \phi_{i,j} \ 0_{\phi_{i,j+1}} \cdots 0_{\phi_{i,n_i}}]^T. \quad (24)$$

于是有

$$\begin{cases} \dot{x}_{i,j} = x_{i,j+1} + f_{i,j} + \theta_i^T \varphi_{i,j} + \\ \quad p_{i,j} + e_{i,j}, \\ \quad j = 1, \cdots, n_i - 1, \quad i = 1, \cdots, N, \\ \dot{x}_{i,n_i} = \omega_i^T u_i + f_{i,n_i} + \theta_i^T \varphi_{i,n_i} + \\ \quad p_{i,n_i} + e_{i,n_i}. \end{cases} \quad (25)$$

定义坐标变换

$$z_{i,j} = x_{i,j} - y_{i,r}^{(j-1)} - \alpha_{i,j-1}, \quad (26)$$

并约定

$$z_{i,0} \triangleq 0, \quad \alpha_{i,0} \triangleq 0. \quad (27)$$

下面的设计过程基于文献[21]中的调节函数方法, 为此作者略去了有关设计的具体步骤. 在下面的式子中, 作者添加了一些关键项, 这些项使得作者能在不依赖于时滞假设条件和可能发生执行器失效的情况下, 消除未知时滞和未知关联项对闭环系统稳定性的影响, 并保证对系统(1)的容错控制.

考虑正定函数

$$\begin{cases} V_{i,1} = \frac{1}{2} z_{i,1}^2 + \frac{1}{2} \tilde{\theta}_i^T \Gamma_i^{-1} \tilde{\theta}_i + \frac{1}{2} \gamma_{i,1}^{-1} \tilde{\psi}_i^2 + \frac{1}{2} \gamma_{i,2}^{-1} \tilde{\rho}_i^2, \\ V_{i,l} = \frac{1}{2} z_{i,l}^2 + V_{i,l-1}, \quad 2 \leq l \leq n_i, \end{cases} \quad (28)$$

其中:  $\Gamma_i = \Gamma_i^T > 0$ ,  $\gamma_{i,1} > 0$ 和 $\gamma_{i,2} > 0$ 为自适应增

益. 自适应模糊容错控制器可设计如下:

$$\alpha_{i,1} = -c_{i,1} z_{i,1} - (\xi_{i,1} + \hat{\rho}_i) z_{i,1} - f_{i,1} - \hat{\theta}_i^T w_{\theta_{i,1}} - \hat{\psi}_i \beta_{i,1} \tanh \frac{z_{i,1} \beta_{i,1}}{\delta_i}, \quad (29)$$

$$\alpha_{i,l} = -z_{i,l-1} - c_{i,l} z_{i,l} - \xi_{i,l} z_{i,l} - f_{i,l} - \hat{\theta}_i^T w_{\theta_{i,l}} - \hat{\psi}_i \beta_{i,l} \tanh \frac{z_{i,l} \beta_{i,l}}{\delta_i} + \Delta_{i,l-1}, \quad (30)$$

$$\omega_i^T u_i = -z_{i,n_i-1} - c_{i,n_i} z_{i,n_i} - \xi_{i,n_i} z_{i,n_i} - f_{i,n_i} - \hat{\theta}_i^T w_{\theta_{i,n_i}} - \hat{\psi}_i \beta_{i,n_i} \tanh \frac{z_{i,n_i} \beta_{i,n_i}}{\delta_i} + y_{i,r}^{(n_i)} + \Delta_{i,n_i-1}, \quad (31)$$

$$w_{\theta_{i,l}} = \varphi_{i,l} - \sum_{j=1}^{l-1} \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} \varphi_{i,j}, \quad (32)$$

$$w_{p_{i,l}} = p_{i,l} - \sum_{j=1}^{l-1} \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} p_{i,j}, \quad (33)$$

$$w_{e_{i,l}} = e_{i,l} - \sum_{j=1}^{l-1} \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} e_{i,j}, \quad (34)$$

$$\tau_{\theta_{i,l}} = \tau_{\theta_{i,l-1}} + z_{i,l} w_{\theta_{i,l}}, \quad (35)$$

$$\tau_{e_{i,l}} = \tau_{e_{i,l-1}} + z_{i,l} \beta_{i,l} \tanh \frac{z_{i,l} \beta_{i,l}}{\delta_i}, \quad (36)$$

$$\begin{aligned} \Delta_{i,l} = & \sum_{j=1}^l \frac{\partial \alpha_{i,l}}{\partial x_{i,j}} (x_{i,j+1} + f_{i,j}) + \frac{\partial \alpha_{i,l}}{\partial \hat{\theta}_i} \dot{\hat{\theta}}_i + \\ & \frac{\partial \alpha_{i,l}}{\partial \hat{\rho}_i} \dot{\hat{\rho}}_i + \frac{\partial \alpha_{i,l}}{\partial \hat{\psi}_i} \dot{\hat{\psi}}_i + \sum_{j=1}^l \sum_{k=1}^N \frac{\partial \alpha_{i,l}}{\partial y_{k,r}^{(j-1)}} y_{k,r}^{(j)}, \end{aligned} \quad (37)$$

其中:  $c_{i,l} > 0$ 为常数,  $\alpha_{i,l}$ 为稳定化函数,  $\tau_{e_{i,l}}$ 为调节函数,  $\delta_i$ 为常数,  $1 \leq l \leq n_i$ . 引入的变量 $\xi_{i,l}$ 和 $\beta_{i,l}$ 定义为

$$\begin{aligned} \xi_{i,l} &= 1 + \sum_{j=1}^{l-1} \left( \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} \right)^2, \\ \beta_{i,l} &= l + \sum_{j=1}^{l-1} \left( \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} \right)^2. \end{aligned} \quad (38)$$

为了消除未知时变时滞对闭环系统稳定性的影响, 本文引入量 $\rho_i$ , 其定义为

$$\rho_i = \frac{1}{2} \sum_{k=1}^N n_k (n_k + 1) l_{k,1}^2. \quad (39)$$

根据式(31), 控制率 $u_{i0}$ 可选为

$$\begin{aligned} u_{i0} = & \frac{1}{\sum_1 w_{i,k} k_{i,k} b_{i,k}} [-z_{i,n_i-1} - c_{i,n_i} z_{i,n_i} - \\ & \xi_{i,n_i} z_{i,n_i} - f_{i,n_i} - \hat{\theta}_i^T w_{\theta_{i,n_i}} - \\ & \hat{\psi}_i \beta_{i,n_i} \tanh \frac{z_{i,n_i} \beta_{i,n_i}}{\delta_i} + y_{i,r}^{(n_i)} + \\ & \Delta_{i,n_i-1} - \sum_2 w_{i,k} u_{i,k}^F]. \end{aligned} \quad (40)$$

参数自适应律可选择为

$$\begin{cases} \dot{\hat{\theta}}_i = \Gamma_i(\tau_{\theta_i, n_i} - r_i \hat{\theta}_i), \\ \dot{\hat{\psi}}_i = \gamma_{i,1}(\tau_{e_i, n_i} - r_i \hat{\psi}_i), \\ \dot{\hat{\rho}}_i = \gamma_{i,2}(z_{i,1}^2 - r_i \hat{\rho}_i), \end{cases} \quad (41)$$

其中  $r_i > 0$  为设计参数. 由式(29)–(31), 可得闭环系统如下:

$$\begin{cases} \dot{z}_{i,1} = -c_{i,1}z_{i,1} + z_{i,2} + \tilde{\theta}_i^T w_{\theta_i,1} + [w_{p_{i,1}} - (\xi_{i,1} + \hat{\rho}_i)z_{i,1}] + [w_{e_{i,1}} - \hat{\psi}_i \beta_{i,1} \tanh \frac{z_{i,1} \beta_{i,1}}{\delta_i}], \\ \dot{z}_{i,l} = -z_{i,l-1} - c_{i,l}z_{i,l} + z_{i,l+1} + \tilde{\theta}_i^T w_{\theta_i,l} + (w_{p_{i,l}} - \xi_{i,l}z_{i,l}) + [w_{e_{i,l}} - \hat{\psi}_i \beta_{i,l} \tanh \frac{z_{i,l} \beta_{i,l}}{\delta_i}], \\ \dot{z}_{i,n_i} = -z_{i,n_i-1} - c_{i,n_i}z_{i,n_i} + \tilde{\theta}_i^T w_{\theta_i,n_i} + (w_{p_{i,n_i}} - \xi_{i,n_i}z_{i,n_i}) + [w_{e_{i,n_i}} - \hat{\psi}_i \beta_{i,n_i} \tanh \frac{z_{i,n_i} \beta_{i,n_i}}{\delta_i}]. \end{cases} \quad (42)$$

对于  $z_{i,l}w_{p_{i,l}}$ , 根据式(7), 有下式成立:

$$\begin{aligned} z_{i,l}w_{p_{i,l}} &= z_{i,l}(p_{i,l} - \sum_{j=1}^{l-1} \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} p_{i,j}) \leq \\ &\frac{1}{4}z_{i,l}^2 + p_{i,l}^2 + \frac{1}{4}z_{i,l}^2 \sum_{j=1}^{l-1} (\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}})^2 + \sum_{j=1}^{l-1} p_{i,j}^2 \leq \\ &z_{i,l}^2 [1 + \sum_{j=1}^{l-1} (\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}})^2] + \sum_{j=1}^{l-1} p_{i,j}^2 = \\ &z_{i,l}^2 \xi_{i,l} + ll_{i,1}^2 \sum_{k=1}^N z_{i,k}^2 (t - d_k(t)), \end{aligned} \quad (43)$$

其中  $\xi_{i,l} = 1 + \sum_{j=1}^{l-1} (\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}})^2$ .

对于  $z_{i,l}w_{e_{i,l}}$ , 基于

$$|\eta| \leq \eta \tanh(\frac{\eta}{\varepsilon}) + \kappa \varepsilon, \quad \kappa = 0.2875^{[22]},$$

有

$$\begin{aligned} z_{i,l}w_{e_{i,l}} &= z_{i,l}(e_{i,l} - \sum_{j=1}^{l-1} \frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}} e_{i,j}) \leq \\ &|z_{i,l}| \psi_i (1 + \sum_{j=1}^{l-1} |\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}}|) \leq \\ &|z_{i,l}| \psi_i [1 + \sum_{j=1}^{l-1} [1 + (\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}})^2]] = \\ &z_{i,l} \psi_i \beta_{i,l} \leq \\ &\psi_i z_{i,l} \beta_{i,l} \tanh(\frac{z_{i,l} \beta_{i,l}}{\delta_i}) + \psi_i \kappa \delta_i, \end{aligned} \quad (44)$$

其中  $\beta_{i,l} = l + \sum_{j=1}^{l-1} (\frac{\partial \alpha_{i,l-1}}{\partial x_{i,j}})^2$ .

## 4.2 稳定性分析(Stability analysis)

定义Lyapunov函数为

$$V = \sum_{i=1}^N V_{i,n_i} + \frac{1}{2} \sum_{i=1}^N n_i(n_i + 1)l_{i,1}^2 \cdot \sum_{k=1}^N \int t_{t-d_k}^t z_{k,1}^2(\sigma) d\sigma. \quad (45)$$

对  $V$  求导可得

$$\begin{aligned} \dot{V} &\leq \sum_{i=1}^N [-\sum_{j=1}^{n_i} c_{i,j} z_{i,j}^2 + r_i \hat{\theta}_i \tilde{\theta}_i + r_i \hat{\psi}_i \tilde{\psi}_i + \\ &r_i \hat{\rho}_i \tilde{\rho}_i + n_i \psi_i \kappa \delta_i] - \sum_{i=1}^N \rho_i z_{i,1}^2 + \\ &\frac{1}{2} \sum_{i=1}^N n_i(n_i + 1)l_{i,1}^2 \sum_{k=1}^N z_{k,1}^2(t). \end{aligned} \quad (46)$$

考虑到式(39)中  $\rho_i$  的定义, 有

$$\begin{aligned} &-\sum_{i=1}^N \rho_i z_{i,1}^2 + \frac{1}{2} \sum_{i=1}^N n_i(n_i + 1)l_{i,1}^2 \sum_{k=1}^N z_{k,1}^2(t) = \\ &-\sum_{i=1}^N [\frac{1}{2} \sum_{k=1}^N n_k(n_k + 1)l_{k,1}^2] z_{i,1}^2 + \\ &\frac{1}{2} \sum_{i=1}^N n_i(n_i + 1)l_{i,1}^2 \sum_{k=1}^N z_{k,1}^2(t) = \\ &-\frac{1}{2} \sum_{k=1}^N n_k(n_k + 1)l_{k,1}^2 \sum_{i=1}^N z_{i,1}^2 + \\ &\frac{1}{2} \sum_{i=1}^N n_i(n_i + 1)l_{i,1}^2 \sum_{k=1}^N z_{k,1}^2(t) = 0, \end{aligned} \quad (47)$$

于是可得

$$\begin{aligned} \dot{V} &\leq \sum_{i=1}^N [-\sum_{j=1}^{n_i} c_{i,j} z_{i,j}^2 + r_i \hat{\theta}_i \tilde{\theta}_i + r_i \hat{\psi}_i \tilde{\psi}_i + \\ &r_i \hat{\rho}_i \tilde{\rho}_i + n_i \psi_i \kappa \delta_i]. \end{aligned} \quad (48)$$

对于未知参数  $a$ , 其估计值  $\hat{a}$  和估计误差  $\tilde{a}$ , 有

$$\hat{a}\tilde{a} \leq \frac{1}{2}a^2 + \frac{1}{2}\tilde{a}^2 - \tilde{a}^2 = \frac{1}{2}a^2 - \frac{1}{2}\tilde{a}^2. \quad (49)$$

根据式(49), 式(48)可进一步写为

$$\begin{aligned} \dot{V} &\leq \\ &\sum_{i=1}^N (-\sum_{j=1}^{n_i} c_{i,j} z_{i,j}^2 - \frac{1}{2}r_i \tilde{\theta}_i^T \tilde{\theta}_i - \frac{1}{2}r_i \tilde{\psi}_i^2 - \frac{1}{2}r_i \tilde{\rho}_i^2) + \\ &\sum_{i=1}^N (\frac{1}{2}r_i \theta_i^T \theta_i + \frac{1}{2}r_i \psi_i^2 + \frac{1}{2}r_i \rho_i^2 + n_i \psi_i \kappa \delta_i) \leq \\ &-a_0 \sum_{i=1}^N (\sum_{j=1}^{n_i} z_{i,j}^2 + \tilde{\theta}_i^T \Gamma_i^{-1} \tilde{\theta}_i + \gamma_{i,1}^{-1} \tilde{\psi}_i^2 + \\ &\gamma_{i,2}^{-1} \tilde{\rho}_i^2) + b_0, \end{aligned} \quad (50)$$

其中:

$$0 < a_0 <$$

$$\min_{1 \leq j \leq n_i, 1 \leq i \leq N} \{c_{i,j}, \frac{r_i}{2\lambda_{\max}(\Gamma_i^{-1})}, \frac{1}{2}r_i \gamma_{i,1}, \frac{1}{2}r_i \gamma_{i,2}\},$$

$$b_0 = \sum_{i=1}^N (\frac{1}{2}r_i\theta_i^T\theta_i + \frac{1}{2}r_i\psi_i^2 + \frac{1}{2}r_i\rho_i^2 + n_i\psi_i\kappa\delta_i). \quad (51)$$

定义集合

$$\Omega = \{(z_{i,j}, \theta_i, \psi_i, \rho_i) : a_0 \sum_{i=1}^N (\sum_{j=1}^{n_i} z_{i,j}^2 + \tilde{\theta}_i^T \Gamma_i^{-1} \tilde{\theta}_i + \gamma_{i,1}^{-1} \tilde{\psi}_i^2 + \gamma_{i,2}^{-1} \tilde{\rho}_i^2) \leq b_0\}, \quad (52)$$

显然当 $(z_{i,j}, \theta_i, \psi_i, \rho_i)$ 处于集合 $\Omega$ 外部时,亦即当

$$a_0 \sum_{i=1}^N (\sum_{j=1}^{n_i} z_{i,j}^2 + \tilde{\theta}_i^T \Gamma_i^{-1} \tilde{\theta}_i + \gamma_{i,1}^{-1} \tilde{\psi}_i^2 + \gamma_{i,2}^{-1} \tilde{\rho}_i^2) > b_0 \quad (53)$$

时,有 $\dot{V} < 0$ . 给定系统任意有界的初始状态,即 $V(0)$ 有界,则 $V(t)$ 有界,且闭环系统(42)的所有解最终都将一致收敛于紧集 $\Omega$ 内,这也就是说,闭环系统的信号 $z_{i,j}, \theta_i, \psi_i, \rho_i$ 均有界. 根据式(29)和式(30)–(40),可知 $\alpha_{i,j}, u_{i0}$ 有界. 再由式(26)知 $x_{i,j}$ 均有界. 因此,闭环系统(42)为GUUB.

更进一步,由式(50)可得

$$\begin{aligned} a_0 z_{i,1}^2 &\leq \\ a_0 \sum_{i=1}^N (\sum_{j=1}^{n_i} c_{i,j} z_{i,j}^2) &\leq \\ -\dot{V} - a_0 \sum_{i=1}^N (\tilde{\theta}_i^T \Gamma_i^{-1} \tilde{\theta}_i + \gamma_{i,1}^{-1} \tilde{\psi}_i^2 + \gamma_{i,2}^{-1} \tilde{\rho}_i^2) + b_0 &\leq \\ -\dot{V} + b_0, & \end{aligned} \quad (54)$$

于是可得

$$\begin{aligned} a_0 \int_0^t z_{i,1}^2(\delta) d\delta &\leq - \int_0^t \dot{V}(\delta) d\delta + \int_0^t b_0 d\delta \leq \\ V(0) - V(t) + b_0 t, & \end{aligned} \quad (55)$$

根据 $V(0)$ 和 $V(t)$ 的有界性,可得

$$\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t z_{i,1}^2(\delta) d\delta \leq \frac{b_0}{a_0}, \quad (56)$$

即跟踪误差 $z_{i,1}$ 最终将一致收敛到包含原点在内部的小邻域内. 由式(51)和式(56)可知,增大 $a_0$ ,减小 $b_0$ ,使得这一邻域变小,即系统的跟踪性能得到增强. 也就是说,通过增大参数 $c_{i,j}, \frac{r_i}{2\lambda_{\max}(\Gamma_i^{-1})}, \frac{1}{2}r_i\gamma_{i,1}, \frac{1}{2}r_i\gamma_{i,2}$ 的值,减小参数 $r_i$ 的值,可以改善闭环系统的跟踪性能.

上述讨论可总结出本文的稳定性定理.

**定理 1** 考虑由时滞关联大系统(1)、控制器(40)和参数自适应律(41)构成的闭环系统. 在假设1–2的条件下,给定系统任意有界的初始状态,则下述特性成立:

1) 式(16)所示的所有类型的执行器故障均可在线补偿,且闭环系统为GUUB;

2) 跟踪误差 $z_{i,1} = y_{i,1} - y_{i,r}$ 一致收敛到包含原

点在内的小邻域内.

## 5 仿真实例(Simulatin examples)

为验证本文所提出的控制方案的有效性,现将本文方法应用到下述关联时滞大系统中. 该模型两个子系统分别由 $[u_{1,1} \ u_{1,2} \ u_{1,3}]$ 和 $[u_{2,1} \ u_{2,2} \ u_{2,3}]$ 驱动,执行器的输入为系统控制器的输出 $u_{i0}$ .

$$\begin{cases} \dot{x}_{1,1} = x_{1,2} + \sin x_{1,1} + h_{1,1}(y_d), \\ \dot{x}_{1,2} = \omega_1^T u_1 + x_{1,1} + x_{1,2} + h_{1,2}(y_d), \end{cases} \quad (57)$$

$$\begin{cases} \dot{x}_{2,1} = x_{2,2} + 0.1x_{2,1}^3 + h_{2,1}(y_d), \\ \dot{x}_{2,2} = \omega_2^T u_2 + x_{2,1}x_{2,2} + h_{2,2}(y_d), \end{cases} \quad (58)$$

系统输出为 $y_1 = x_{1,1}, y_2 = x_{2,1}$ . 参考信号的选择为 $y_{1,r}(t) = \sin t + \sin(0.5t)$ 和 $y_{2,r}(t) = \sin(0.5t)$ ,系统时滞选为 $d_1(t) = 1.6(1 + \sin t)$ 和 $d_2(t) = 1.6(1 - \cos t)$ . 未知关联函数选择为

$$\begin{aligned} h_{1,1} &= y_1(t - d_1(t)) + y_2(t - d_2(t)), \\ h_{1,2} &= y_1(t - d_1(t)) + \sin(y_2(t - d_2(t))), \\ h_{2,1} &= y_1^3(t - d_1(t)) + \sin(y_2(t - d_2(t))), \\ h_{2,2} &= \tanh(y_1(t - d_1(t))) + \sin(y_2(t - d_2(t))), \\ \omega_1 &= [6 \ 6 \ 6]^T, \ u_1 = [u_{1,1} \ u_{1,2} \ u_{1,3}], \\ \omega_2 &= [5 \ 5 \ 5]^T, \ u_2 = [u_{2,1} \ u_{2,2} \ u_{2,3}]. \end{aligned}$$

模糊隶属度函数选择为

$$\begin{aligned} \mu_{h_{i,j}^l}(y_{k,r}) &= e^{-10(y_{k,r} + 0.2l - 1)^2}, \\ l &= 0, \dots, 9, \ i = 1, 2, \ j = 1, 2, \ k = 1, 2. \end{aligned}$$

模糊基函数选择为

$$\begin{aligned} \phi_{i,j,l} &= \prod_{k=1}^2 \mu_{h_{i,j}^l}(y_{k,r}) / \sum_{l=1}^{10} (\prod_{k=1}^2 \mu_{h_{i,j}^l}(y_{k,r})), \\ \phi_{i,j} &= [\phi_{i,j,1} \ \dots \ \phi_{i,j,10}]^T. \end{aligned}$$

根据式(12),可构造模糊逼近器 $\hat{h}_{i,j}(y_r)$ .

分散控制器的设计参数选择如下: 对于子系统1,有 $c_{1,1} = 25, c_{1,2} = 25, \gamma_{1,1} = 10, \gamma_{1,2} = 10, \Gamma_1 = 10I, r_1 = 5, \delta_{1,2} = 0.1$ ; 对于子系统2,有 $c_{2,1} = 25, c_{2,2} = 25, \gamma_{2,1} = 10, \gamma_{2,2} = 10, \Gamma_2 = 10I, r_2 = 5, \delta_{2,2} = 0.1$ . 式(21)中的比例函数选为 $b_{1,k}(x_1) = b_{2,k}(x_2) = 0.5, k = 1, 2, 3$ . 仿真结果如下:

1) 在仿真过程中,执行器故障模型选择如下. 对于子系统1来说,当 $t > 10$ 时发生LOE,  $u_{1,1} = 0.8u_{1,1}^c$ ; 当 $t > 8$ 时发生HOF,  $u_{1,2} = -100$ ; 当 $t > 5$ 时发生LIP,  $u_{1,3} = 13$ . 对于子系统2来说,当 $t > 6$ 时发生LOE,  $u_{2,1} = 0.6u_{2,1}^c$ ; 当 $t > 12$ 时发生LIP,  $u_{2,2} = 5$ ; 当 $t > 9$ 时发生float,  $u_{2,3} = 0$ . 上述 $u_{i,k}^c$ 由式(21)确定. 系统初始状态选择为 $x_{1,1}(0) = 1, x_{2,1}(0) = -1$ . 仿真结果如图1–4所示,其中:图1和图3为子系统输出跟

踪参考信号仿真曲线,图2和图4为执行器输出曲线.从这些曲线图上可以看出,在发生所有4类执行器故障的情况下,本文方法可以保证闭环系统的所有信号有界,同时跟踪误差可以收敛到原点附近的小邻域内.

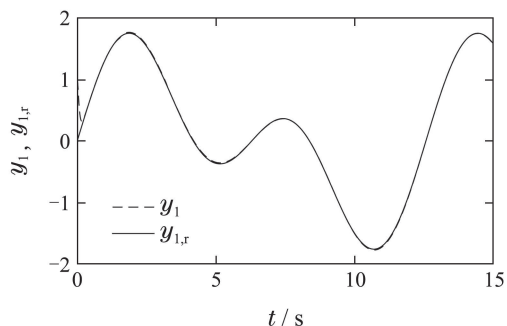


图1 系统输出 $y_1$ 和参考信号 $y_{1,r}$

Fig. 1 System output  $y_1$  and reference signal  $y_{1,r}$

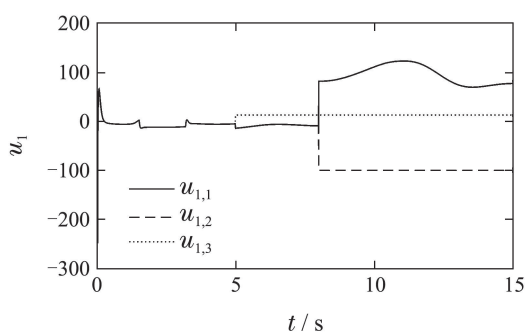


图2 执行器输出 $u_{1,1}$ ,  $u_{1,2}$ 和 $u_{1,3}$

Fig. 2 Outputs of actuators  $u_{1,1}$ ,  $u_{1,2}$  and  $u_{1,3}$

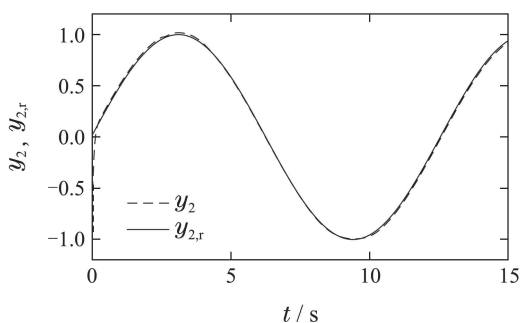


图3 系统输出 $y_2$ 和参考信号 $y_{2,r}$

Fig. 3 System output  $y_2$  and reference signal  $y_{2,r}$

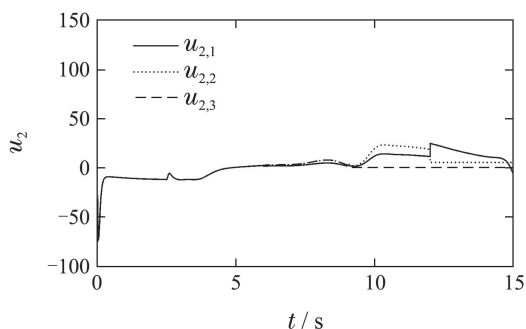


图4 执行器输出 $u_{2,1}$ ,  $u_{2,2}$ 和 $u_{2,3}$

Fig. 2 Outputs of actuators  $u_{2,1}$ ,  $u_{2,2}$  and  $u_{2,3}$

2) 参数选择对仿真结果的影响. 仿真结果如图5-6所示. 由于在式(56)中, 参数 $r_i$ 的增大或减小会导致参数 $\frac{r_i}{2\lambda_{\max}(\Gamma_i^{-1})}$ ,  $\frac{1}{2}r_i\gamma_{i,1}$ ,  $\frac{1}{2}r_i\gamma_{i,2}$ 的值相应增大或减小, 所以作者在本实例中采用固定 $r_i$ 而改变 $\Gamma_i$ ,  $\gamma_{i,1}$ 和 $\gamma_{i,2}$ 的方式来考证这些参数对控制效果的影响. 图5-6中, 保持 $r_i = 5$ 和 $\delta_{i,2} = 0.1$ 不变, 第1组参数选择为 $c_{i,j} = 5$ ,  $\gamma_{i,j} = 2$ ,  $\Gamma_i = 2I$ ,  $i = 1, 2$ ,  $j = 1, 2$ , 所得仿真结果如 $y_1(1)$ 和 $y_2(1)$ 所示; 第2组参数选择为 $c_{i,j} = 15$ ,  $\gamma_{i,j} = 6$ ,  $\Gamma_i = 6I$ , 所得仿真结果如 $y_1(2)$ 和 $y_2(2)$ 所示; 第3组参数选择为 $c_{i,j} = 25$ ,  $\gamma_{i,j} = 10$ ,  $\Gamma_i = 10I$ , 所得仿真结果如 $y_1(3)$ 和 $y_2(3)$ 所示. 从图中可以看出, 增大设计参数 $c_{i,j}$ ,  $\gamma_{i,j}$ 和 $\Gamma_i$ 的值, 即增大式(56)中 $a_0$ 的值, 可以显著改善闭环系统的跟踪性能.

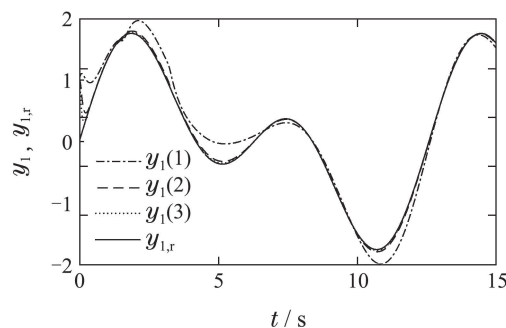


图5 不同设计参数对 $y_1$ 的影响

Fig. 5 Effects of different parameters on  $y_1$

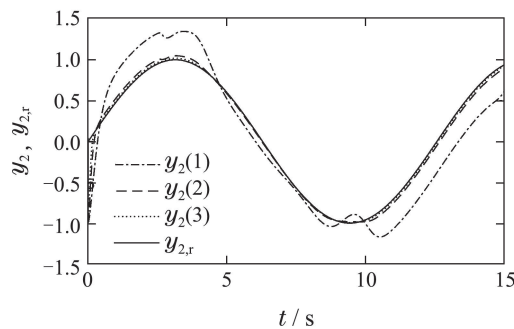


图6 不同设计参数对 $y_2$ 的影响

Fig. 6 Effects of different parameters on  $y_2$

## 6 结论(Conclusions)

本文讨论了一类关联时滞大系统的自适应模糊容错控制问题. 所提出的控制方案能够在线补偿所有常见的4种执行器故障. 通过代换的方法, 使得模糊逼近器的输入信号为有界的参考信号, 从而保证了闭环系统所有信号的全局稳定性. 这种代换还使得控制器的设计不再依赖于对时滞信号所做的假设, 大大增加了控制器设计的便易性. 本文方法可以直接推广到系统输出含有时滞的其他不确定系统中, 如输出反馈系统、控制增益不为1的系统等. 对含有时变或随机执行器故障的非线性时滞系统的容错控制则是作者下一步研究的方向.

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