DOI: 10.7641/CTA.2013.21072

互联系统的分布输出反馈自适应动态面控制

王建国¹, 张秀宇^{1†}, 林 岩²

(1. 东北电力大学 自动化工程学院, 吉林 吉林 132012; 2. 北京航空航天大学 自动化科学与电气工程学院, 北京 100191)

摘要:本文所提方案具有如下特点:1)通过使用初始化技术,给出了互联系统中各个子系统跟踪误差的 \mathcal{L}_{∞} 性能指标;2)采用动态面控制方法,避免了反推法带来的"微分爆炸"问题;3)通过估计未知参数向量范数,极大减轻了控制系统的计算负担;4)引入了一种高增益观测器,保证了互联系统中各个子系统跟踪误差可以收敛到任意小,仿真结果验证了所提方案的有效性.

关键词:输出反馈;高增益观测器;动态面控制

中图分类号: TP273 文献标识码: A

Output-feedback adaptive dynamic surface decentralized control for interconnected systems

WANG Jian-guo¹, ZHANG Xiu-yu^{1†}, LIN Yan²

(1. College of Automation Technology, Northeast Dianli University, Jilin Jilin 132012, China;

2. College of Automation Science and Electrical Engineering, Beijing University of Aeronautics and Astronautics,

Beijing 100191, China)

Abstract: The proposed scheme has features: 1) by using dynamic surface control technique, it eliminates the problem of differentiation explosion which is inherent to the backstepping design; 2) by estimating the norm of unknown parameter vectors, it reduces the computational burden greatly; 3) high gain observers are introduced, which forces the tracking error of each subsystem to converge to an arbitrarily small residual set. Simulation results are presented to illustrate the effectiveness of the proposed scheme.

Key words: output feedback; high-gain observers; dynamic surface control

1 引言(Introduction)

对于存在诸多不确定性和外部扰动的互联系统, 自适应分布控制策略是对其行之有效的控制方法.但 是由于互联系统中各个子系统间存在相互作用,使得 研究互联系统的控制问题变得非常困难.因此,与此 问题相关的研究成果并不是很多. 文献[1]在假定各个 子系统相对阶数不超过2的情况下,对互联系统的分 布自适应控制方法进行了研究.近年来,由于反推控 制成为控制领域的研究热点,也有学者采用反推法进 行分布自适应控制,如文献[2],此方法与文献[3]中的 方法相比,改善了系统的过渡过程. 文献[4]最先提出 了基于反推方法的分布自适应控制,且对互联系统的 各个子系统的相对阶无任何要求. 自此以后, 很多基 于反推方法的分布自适应控制的研究成果相继出现. 比较有代表性的为文献[5]的研究成果,但是子系统间 相互作用的函数必须确切知道且满足全Lipschitz条 件. 文献[6-7]解决了上述问题, 但无法保证互联系统 中各个子系统跟踪误差可以收敛到任意小,同时也无 法克服反推法反复对所设计的虚拟控制信号微分而 产生的"微分爆炸"问题.

另外,磁滞环节也是最重要的非平滑非线性环节 之一,广泛存在于实际物理系统和装置中^[8-11].当一 个装置受到磁滞非线性环节影响时,控制系统将产生 诸如准确性降低、系统发生抖震甚至变得不稳定等严 重问题^[12].工程应用中,磁滞现象的建模与控制是一 个极具挑战性的问题.近年来,随着含有磁滞现象的 智能材料的广泛使用,该问题亦受到研究人员的广泛 重视^[13-16].

本文采用分布动态面控制方法来实现对非线性互联系统的分布跟踪控制,通过使用初始化技术,给出了互联系统中各个子系统跟踪误差的 \mathcal{L}_{∞} 性能指标; 避免了采用反推法而带来的"微分爆炸"问题:与文献[7,17]针对非线性互联系统使用的普通K观测器相比,本文所设计的高增益K观测器,保证了互联系统

基金项目:国家自然科学基金项资助目(61304015, 51176028); 吉林省自然科学基金资助项目(201115179).

收稿日期: 2012-10-18; 收修改稿日期: 2013-04-11.

[†]通信作者. E-mail: zhangxiuyu80@163.com; Tel.: +86 15804325994.

中各个子系统跟踪误差可以收敛到任意小;且通过对 未知参数向量范数的估计来代替对整个未知参数向 量本身的估计,极大减轻了控制系统的计算负担.

2 系统描述(Problem statement)

考虑如下由N个子系统组成的互联系统:

$$\begin{cases} \dot{x}_i = A_i x_i + \Phi_i(y_i)\theta_i + b_i w_i + \\ \sum_{j=1}^N f_{ij}(t, y_j) + d_i(t), \\ y_i = x_{i,1}, \ i = 1, \cdots, N, \end{cases}$$
(1)

其中:

$$A_{i} = \begin{bmatrix} 0 \cdots I_{n_{i}-1} \\ \vdots & \vdots \\ 0 \cdots & 0 \end{bmatrix}, \quad \Phi_{i}(y_{i}) = \begin{bmatrix} \Phi_{i,1}(y_{i}) \\ \vdots \\ \Phi_{i,n_{i}}(y_{i}) \end{bmatrix}$$
$$b_{i} = \begin{bmatrix} 0_{\rho_{i}-1\times1} \\ b_{i,n_{i}-\rho_{i}} \\ \vdots \\ b_{i,0} \end{bmatrix}, \quad f_{ij}(y_{i}) = \begin{bmatrix} f_{ij,1}(y_{i}) \\ \vdots \\ f_{ij,n_{i}}(y_{i}) \end{bmatrix},$$
$$d_{i}(t) = \begin{bmatrix} d_{i,1}(t) \\ \vdots \\ d_{i,n_{i}}(t) \end{bmatrix},$$

 $x_i = (x_{i1}, x_{i2}, \dots, x_{in_i}) \in \mathbb{R}^{n_i}$ 是系统的状态向量, $\Phi_i(y_i) \in \mathbb{R}^{n_i \times r_i}$ 为已知的光滑函数; $\theta_i \in \mathbb{R}^{r_i}, b_i \in \mathbb{R}^{n_i - \rho_i + 1}$ 为未知常数向量, ρ_i 为第i个子系统的相对阶数. 当 $i \neq j$ 时, $f_{ij}(y_i) \in \mathbb{R}^{n_i}$ 表示第j个子系统对第i个子系统的非线性作用; 当i = j时, $f_{ij}(y_i) \in \mathbb{R}^{n_i}$ 表示第i个子系统的未建模部分; $d_i(t) \in \mathbb{R}^{n_i}$ 表示外部扰动; y_i 和 u_i 为第i个子系统的输出和输入; $w_i \in \mathbb{R}$ 为第i个子系统的磁滞输出. 该磁滞现象用类间隙磁滞模型来描述. 其数学描述如下:

$$\frac{\mathrm{d}w_i}{\mathrm{d}t} = \alpha |\frac{\mathrm{d}u_i}{\mathrm{d}t}| (\lambda u_i - w_i) + \psi \frac{\mathrm{d}u_i}{\mathrm{d}t}, \qquad (2)$$

其中: α , ψ 为未知常数, λ 为正常数, 且满足 $\lambda > \psi$. 由 \dot{u} 的分段单调性, 对式(2)求解可得

$$w_i(t) = \lambda u_i(t) + d(u_i), \qquad (3)$$

其中

$$d_{i}(u) = (w_{i0} - \lambda u_{i0}) \exp\{-\alpha(u_{i} - u_{i0}) \operatorname{sgn} \dot{u}_{i}\} + \exp\{-\alpha u_{i} \operatorname{sgn} \dot{u}_{i}\} \int_{u_{0}}^{u} (\psi - \lambda) \exp\{\alpha \xi \operatorname{sgn} \dot{u}_{i}\} d\xi,$$
从式(3)可知,对于任何 $u \in \mathbb{R}, d(u_{i})$ 是有界的,这里笔

从式(3)可知, 約1 任何 $u \in \mathbb{R}$, $u(u_i)$ 定有外的, 这里 者假设 $|d(u_i)| \leq D$. 将式(3)代入式(1)可得

$$\begin{split} \dot{x}_i &= A_i x_i + \Phi_i(y_i) \theta_i + \beta_i u_i + b_i d(u_i) + \\ &\sum_{j=1}^N f_{ij}(t,y_j) + d_i(t), \end{split}$$

$$y_i = x_{i,1}, \ i = 1, \cdots, N,$$
 (4)

其中 $\beta_i = b_i \lambda$.

针对上述系统,笔者做如下假设:

假设1 对于任意*i*, 1 ≤ *i* ≤ *N*, 多项式 $N_i(s) := \beta_{i,n_i-\rho_i}s^{n_i-\rho_i} + \cdots + \beta_{i,1}s + \beta_{i,0}$ 是Hurwitz的, 其中: $\beta_{i,n_i-\rho_i}$ 的符号和相对阶数 ρ_i 已知; 不失一般性, 假定 $\beta_{i,n_i-\rho_i} > 0$.

假设2 未知非线性函数 $f_{ii}(t, y_i)$ 满足

$$f_{ij}(t, y_j) \| \leqslant \bar{\kappa}_{ij} |\psi_j(y_j)|, \tag{5}$$

其中: $\|\cdot\|$ 表示Euclidean范数, $\bar{\kappa}_{ij}$ 为未知常数子系统 间表示相互作用的强度, $\psi_j(y_j)$ 为已知非线性函数且 至少 ρ_i 次可导.

假设3 参考信号(或理想跟踪信号) y_{ri} 是二阶可导的,并且 $y_r(0)$ 可以设置; $(y_r, \dot{y}_r, \ddot{y}_r)^T$ 属于一已知紧集.

控制目的是设计一个分散输出反馈自适应动态面 控制方案,使各个子系统的输出y_i能够跟踪参考信 号y_{ri}且保证闭环控制系统所有信号一致有界.

注1 文献[21]指出,很多实际的物理系统可以转换成 式(1)的形式.对于系统(1),文献[22-23]采用反推控制方法来 处理其他非平滑的未知非线性环节,相应的必然会产生 "微分爆炸"问题.

注 2 假设2表示第*j*个子系统对第*i*个子系统的非线性作用是有界的且可用一个关于*y_j*的高阶非线性函数来界定,这样的假设是对文献[4,25]关于其线性有界条件的极大放宽,且与文献[7,17]的假设一致,因此假设2是合理的.

- 3 基于高增益观测器的输出反馈分布自适应 动态面控制器设计(Adaptive dynamic surface controller design by using high-gain observer)
- **3.1** 高增益状态观测器的构造(Construction of high-gain observer)

为了构造一个高增益观测器,将式(4)写成如下的 形式:

$$\dot{x}_{i} = A_{i,0}x_{i} + q_{i}y_{i} + \Phi_{i}(y_{i})\theta_{i} + \beta_{i}u_{i} + b_{i}d(u_{i}) + \sum_{j=1}^{N} f_{ij}(t, y_{j}) + d_{i}(t),$$

$$y_{i} = x_{i,1}, \ i = 1, \cdots, N,$$

$$\begin{bmatrix} -q_{i,1} & 1 \\ & & \\ & & \\ & & \\ \end{bmatrix} \begin{bmatrix} q_{i,1} \end{bmatrix}$$
(6)

$$A_{i,0} = \begin{bmatrix} -q_{i,2} & \ddots \\ \vdots & 1 \\ -q_{i,n_i} & 0 & \cdots & 0 \end{bmatrix}, \ q_i = \begin{bmatrix} 1, i \\ q_{i,2} \\ \vdots \\ q_{i,n_i} \end{bmatrix},$$
(7)

且可通过适当调整参数q_i使A_{i,0}是稳定的.受到文献[20]的启发,笔者设计高增益观测器来抑制系统中

的磁滞现象和各个子系统间的相互作用:

$$\dot{v}_{i,q} = k_i A_{i,0} v_{i,q} + \Psi_i^{-1} e_{n_i, n_i - \rho_i} u_i,$$

$$q = 0, 1, \cdots, n_i - \rho_i,$$
(8)

$$\dot{\xi}_{i,0} = k_i A_{i,0} \xi_{i,0} + k_i q_i y_i, \tag{9}$$

$$\Xi_i = k_i A_{i,0} \Xi_i + \Psi_i^{-1} \Phi_i(y_i), \ i = 1, \cdots, N, \ (10)$$

其中: $k_i \ge 1$ 为正设计参数, $e_{n_i,n_i-\rho_i}$ 为第i个子系统的第 $n_i - \rho_i$ 个坐标向量, 且

$$\Psi_i = \text{diag}\{1, k_i, k_i^2, \cdots, k_i^{n_i - 1}\}, \qquad (11)$$

则状态向量的估计值为如下形式:

$$\hat{x}_{i} = \Psi_{i}\xi_{i,0} + \sum_{j=0}^{n_{i}-\rho_{i}}\beta_{i,j}\Psi_{i}v_{i,j} + \Psi_{i}\Xi_{i}\theta_{i}, \quad (12)$$

定义估计误差为如下形式:

$$\epsilon_i = x_i - \hat{x}_i. \tag{13}$$

可以证明

$$\dot{\epsilon}_i = A_i \epsilon_i - k_i \Psi_i q_i \epsilon_{i,1} + b_i d(u_i) + \sum_{j=1}^N f_{ij}(t, y_j) + d_i(t), \qquad (14)$$

其中 $\epsilon_{i,1}$ 为向量 ϵ_i 的第1个元素.

3.2 分布自适应动态面控制器的设计(Decentralized adaptive dynamic surface controller design)
 第1步(i = 1, ..., N) 定义第1组面误差

$$S_{i,1} = x_{i,1} - y_{ri}, \tag{15}$$

其中yri是参考信号.对式(15)求导,可得

$$\dot{S}_{i,1} = k_i \xi_{i,(0,2)} + \sum_{j=0}^{n_i - \rho_i} \beta_{i,j} k_i v_{i,(j,2)} + (k_i \Xi_{i,2} + \Phi_{i,1}(y_i)) \theta_i + \sum_{j=1}^N f_{ij,1}(t, y_j) + \epsilon_{i,2} + d_{i,1} - y_{ri} = -l_{i,1} S_{i,1} + \beta_{i,n_i - \rho_i} k_i [v_{i,(n_i - \rho_i, 2)} - \overline{v}_{i,(n_i - \rho_i, 2)}] + \beta_{i,n_i - \rho_i} k_i \overline{v}_{i,(n_i - \rho_i, 2)} + \beta_{i,n_i - \rho_i} \Theta_i^{\mathrm{T}} \omega_i + \epsilon_{i,2} + \sum_{j=1}^N f_{ij,1}(t, y_j) + d_{i,1} - y_{ri},$$
(16)

其中: $l_{i,1}$ 为正设计参数, $\bar{v}_{i,(n_i-\rho_i,2)}$ 为待设计的虚拟控制律,

$$\Theta_{i} = \left[\frac{1}{\beta_{i,n_{i}-\rho_{i}}}, \frac{\beta_{i,0}}{\beta_{i,n_{i}-\rho_{i}}}, \cdots, \frac{\beta_{i,n_{i}-\rho_{i}-1}}{\beta_{i,n_{i}-\rho_{i}}}, \theta_{i}\right]^{\mathrm{T}}$$
$$\omega_{i} = \left[k_{i}\xi_{i,(0,2)} - y_{\mathrm{r}i} + l_{i,1}S_{i,1}, k_{i}v_{i,(0,2)}, \cdots, k_{i}v_{i,(n_{i}-\rho_{i}-1,2)}, k_{i}\Xi_{i,2} + \Phi_{i,1}(y_{i})\right].$$
(17)

根据下述不等式:

$$S_{i,1}\Theta_i^{\mathrm{T}}\omega_i \leqslant \frac{\alpha_i S_{i,1}^2 \nu_i^* \omega_i^{\mathrm{T}} \omega_i}{2} + \frac{1}{2\alpha_i}, \qquad (18)$$

其中:
$$\nu_i^* = \Theta_i^{\mathrm{T}} \Theta_i, \alpha_i$$
为正设计参数, 可得
 $S_{i,1} \dot{S}_{i,1} \leqslant S_{i,1} (-l_{i,1} S_{i,1} + \beta_{i,n_i - \rho_i} k_i (v_{i,(n_i - \rho_i, 2)} - v_{i,(n_i - \rho_i, 2)}) + \beta_{i,n_i - \rho_i} k_i \bar{v}_{i,(n_i - \rho_i, 2)} + \frac{\beta_{i,n_i - \rho_i} \alpha_i S_{i,1} \nu_i^* \omega_i^{\mathrm{T}} \omega_i}{2} + \epsilon_{i,2} + d_{i,1} + \sum_{j=1}^N f_{ij,1}(t, y_j)) + \frac{\beta_{i,n_i - \rho_i}}{2\alpha_i}.$ (19)

根据式(19), 虚拟控制律为

$$\bar{v}_{i,(n_i-\rho_i,2)} = -\frac{\alpha_i S_{i,1} \hat{\nu}_i \omega_i^{\mathrm{T}} \omega_i}{2k_i} - \frac{l_i^* S_{i,1} \phi(S_{i,1}) \psi_i^2(y_i)}{k_i},$$
(20)

其中 $\rho_i - 1$ 阶可导函数 $\phi(\cdot)$ 定义为如下形式:

$$\phi(x) = \begin{cases} \frac{1}{x^2}, & |x| \ge \delta_i, \\ \frac{1}{(\delta_i^2 - x^2)^{\rho_i} + x^2}, & |x| < \delta_i, \end{cases}$$
(21)

 $\hat{\nu}_i$ 为 ν_i^* 的估计值, 其调参律为

$$\dot{\hat{\nu}}_i = \gamma_{\nu_i} \left(\frac{\alpha_i S_{i,1}^2 \omega_i^{\mathrm{T}} \omega_i}{2} - \eta_i \hat{\nu}_i \right), \tag{22}$$

其中 η_i 为正设计参数. 令 $\bar{v}_{i,(n_i-\rho_i,2)}$ 通过一阶低通滤 波器, 得到新变量 $z_{i,2}$:

$$\tau_{i,2}\dot{z}_{i,2} + z_{i,2} = \bar{v}_{i,(n_i - \rho_i, 2)},$$

$$z_{i,2}(0) = \bar{v}_{i,(n_i - \rho_i, 2)}(0),$$
 (23)

 $\tau_{i,2}$ 为一阶低通滤波器的时间常数.

第*q*步($q = 2, \dots, \rho_i - 1, i = 1, \dots, N$) 定义第 q组面误差:

$$S_{i,q} = v_{i,(n_i - \rho_i,q)} - z_{i,q}.$$
 (24)

由式(24) 可知, S_{i,q}对时间的导数为

$$\hat{S}_{i,q} = -k_i q_{i,q} v_{i,(n_i - \rho_i, 1)} + k_i v_{i,(n_i - \rho_i, q+1)} - \dot{z}_{i,q} = -k_i q_{i,q} v_{i,(n_i - \rho_i, 1)} + k_i (v_{i,(n_i - \rho_i, q+1)} - \bar{v}_{i,(n_i - \rho_i, q+1)}) + k_i \bar{v}_{i,(n_i - \rho_i, q+1)} - \dot{z}_{i,q}.$$
(25)

由式(25), 虚拟控制律 $\bar{v}_{i,(n_i-\rho_i,q+1)}$ 为

$$\bar{v}_{i,(n_i-\rho_i,q+1)} = \frac{-l_{i,q}S_{i,q} + k_i q_{i,q} v_{i,(n_i-\rho_i,1)} + \dot{z}_{i,q}}{k_i},$$
(26)

其中 $l_{i,q}(q = 2, \dots, \rho_i - 1)$ 为正设计参数.本文令 $\bar{v}_{i,(n_i - \rho_i, q+1)}$ 通过一阶低通滤波器,得到新变量得新 变量 $z_{i,q+1}$:

$$\tau_{i,q+1}\dot{z}_{i,q+1} + z_{i,q+1} = \bar{v}_{i,(n_i - \rho_i, q+1)},$$

$$z_{i,q+1}(0) = \bar{v}_{i,(n_i - \rho_i, q+1)}(0),$$
(27)

 $\tau_{i,q+1}$ 为一阶低通滤波器的时间常数.

第
$$\rho_i$$
步 $(i = 1, \cdots, N)$ 定义第 ρ_i 组面误差
 $S_{i,\rho_i} = v_{i,(n_i - \rho_i,\rho_i)} - z_{i,\rho_i},$ (28)

第30卷

对式(28)求导可得

$$S_{i,\rho_i} = -k_i q_{i,\rho_i} v_{i,(n_i - \rho_i, 1)} + k_i v_{i,(n_i - \rho_i, \rho_i + 1)} + k_i^{1 - \rho_i} u_i - \dot{z}_{i,\rho_i},$$
(29)

因此,实际控制律可设计为

$$u_{i} = k_{i}^{\rho_{i}-1} (-l_{i,\rho_{i}} S_{i,\rho_{i}} + k_{i} q_{i,\rho_{i}} v_{i,(n_{i}-\rho_{i},1)} - k_{i} v_{i,(n_{i}-\rho_{i},\rho_{i}+1)} + \dot{z}_{i,\rho_{i}}).$$
(30)

注 3 与文献[7,17]提出的反推控制相比,自适应律只出现在设计的第1步,且采用估计范数的形式替代估计未知参数的向量,因此,极大地减轻了系统的计算负担.由于一阶低通滤波器的使用,使得所设计的控制律变得很简单.代价是系统的跟踪误差不能收敛到零.

4 系统稳定性分析(Systems stability analysis)

首先,定义如下误差变量:

$$\bar{y}_{i,q} = z_{i,q} - \bar{v}_{i,(n_i - \rho_i,q)}, \ q = 2, \cdots, \rho_i.$$
 (31)

由式(20)(24)可知式(19)可变为

$$S_{i,1}S_{i,1} \leqslant S_{i,1}(-l_{i,1}S_{i,1} + \beta_{i,n_i-\rho_i}k_i(S_{i,2} - \bar{y}_{i,2}) - \frac{\beta_{i,n_i-\rho_i}\alpha_i S_{i,1}\tilde{\nu}_i \omega_i^{\mathrm{T}}\omega_i}{2} + \epsilon_{i,2} - l_i^*S_{i,1}\phi(S_{i,1})\psi_i^2(y_i) + \frac{\beta_{i,n_i-\rho_i}}{2\alpha_i} + \sum_{j=1}^N f_{ij,1}(t,y_j) + d_{i,1}),$$
(32)

其中 $\tilde{\nu}_i = \hat{\nu}_i - \nu_i^*$. 同理可得

$$\dot{S}_{i,q} = -l_{i,q}S_{i,q} + k_iS_{i,q+1} + k_i\bar{y}_{i,q+1}, \quad (33)$$

$$\dot{S}_{i,\rho_i} = -l_{i,\rho_i} S_{i,\rho_i}.$$
(34)

另一方面,由式(31)-(34)可得到如下不等式: $|\dot{y}_{i,2} + \frac{\bar{y}_{i,2}}{\tau_{i,2}}| \leq B_2(S_{i,1}, S_{i,2}, \bar{y}_{i,2}, \tilde{\nu}_i, y_{\mathrm{r}i}, \dot{y}_{\mathrm{r}i}, \dot{\varphi}_{\mathrm{r}i}, \epsilon_i),$

$$\bar{y}_{i,q+1} + \frac{g_{i,q+1}}{\tau_{i,q+1}} \leqslant B_{i,q+1}(S_{i,1}\cdots, S_{i,q+1}, \bar{y}_{i,2}, \cdots, \\
\bar{y}_{i,q+1}, \tilde{v}_i, \tilde{\nu}_i, y_{\mathrm{r}i}, \dot{y}_{\mathrm{r}i}, \ddot{y}_{\mathrm{r}i}, \epsilon_i), \quad (36)$$

其中 $B_{i,q+1}(q = 2, \dots, \rho_i - 1)$ 是连续函数. 关于闭环 系统式(15)(24)(32)–(34), 令Lyapunov函数定义为如 下形式:

$$V = \sum_{i=1}^{N} V_i, \tag{37}$$

且.

$$V_{i} = \frac{1}{2} \left(\sum_{q=1}^{\rho_{i}} S_{i,q}^{2} + \sum_{q=1}^{\rho_{i}} \bar{y}_{i,q+1}^{2} + \frac{\beta_{i,n_{i}-\rho_{i}} \tilde{\nu}_{i}^{2}}{2\gamma_{\nu_{i}}} + V_{\epsilon_{i}} \right),$$
(38)

其中 V_{ϵ_i} 为关于估计误差 ϵ_i 的二次型方程,其具体形式为

$$V_{\epsilon_i} = \epsilon_i^{\mathrm{T}} P_i \epsilon_i, \tag{39}$$

其中:
$$P_i = (\Psi_i^{-1})^{\mathrm{T}} \bar{P}_i \Psi_i^{-1}, \bar{P}_i = \bar{P}_i^{\mathrm{T}} > 0$$
且满足
 $\bar{P}_i A_{i,0} + A_{i,0}^{\mathrm{T}} \bar{P}_i = -2I,$ (40)

A_{i.0}为Hurwitz矩阵且由式(7)给出. 做如下误差变换:

$$\hat{\epsilon}_i = \Psi_i^{-1} \epsilon_i. \tag{41}$$

考虑式(14), 转换后的误差信号 $\hat{\epsilon}_i$ 满足

$$\frac{\mathrm{d}}{\mathrm{d}t}\hat{\epsilon}_{i} = k_{i}A_{i,0}\hat{\epsilon}_{i} + \Psi_{i}^{-1}b_{i}d(u_{i}) + \Psi_{i}^{-1}d_{i}(t) + \Psi_{i}^{-1}\sum_{j=1}^{N}f_{ij,1}(t,y_{j}).$$
(42)

由于 $A_{i,0}$ 为Hurwitz矩阵,因此存在一个对称、正定矩 阵 \bar{P}_i 使得式(40)成立. 定义如下二次型方程

$$V_{\hat{\epsilon}_i} = \hat{\epsilon}_i^{\mathrm{T}} \bar{P}_i \hat{\epsilon}_i, \tag{43}$$

则利用Young's不等式,式(39)对时间的导数满足

$$\begin{split} \dot{V}_{\hat{\epsilon}_{i}} \leqslant -2k_{i} \|\hat{\epsilon}_{i}\|^{2} + \frac{2\|\hat{\epsilon}_{i}\|}{k_{i}^{\rho_{i}-1}} (\|\bar{P}_{i}\|\|b_{i}\|D + \\ \|\bar{P}_{i}d_{i}\| + \|\bar{P}_{i}\|\sum_{j=1}^{N}\|f_{ij}(t,y_{j})\|) = \\ -2k_{i}\|\hat{\epsilon}_{i}\|^{2} + 2\|\hat{\epsilon}_{i}\|\sqrt{k_{i}} + \\ [\frac{\sqrt{k_{i}}\|\bar{P}_{i}\|\|b_{i}\|D}{k_{i}^{\rho_{i}}} + \frac{\sqrt{k_{i}}\|\bar{P}_{i}\|\sum_{j=1}^{N}\|f_{ij}(t,y_{j})\|}{k_{i}^{\rho_{i}}}] \leqslant \\ -\frac{k_{i}}{2\lambda_{\max}(\bar{P}_{i})}\hat{\epsilon}_{i}^{\mathrm{T}}\bar{P}_{i}\hat{\epsilon}_{i}^{+}k_{i}[(\frac{\|\bar{P}_{i}\|\|b_{i}\|D}{k_{i}^{\rho_{i}}})^{2} + \\ (\frac{\|\bar{P}_{i}d_{i}\|}{k_{i}^{\rho_{i}}})^{2} + \frac{2N\|\bar{P}_{i}\|^{2}}{k_{i}^{2\rho_{i}-1}}\sum_{j=1}^{N}\|f_{ij}(t,y_{j})\|^{2}], \end{split}$$

$$(44)$$

其中 $\lambda_{\max}(\bar{P}_i)$ 表示矩阵 \bar{P}_i 特征值的最大值. 令

$$\zeta_{k_i} = \frac{k_i}{2\lambda_{\max}(\bar{P}_i)},\tag{45}$$

$$\delta_{k_i} = k_i [(\frac{\|\bar{P}_i\| \|b_i\| D}{k_i^{\rho_i}})^2 + (\frac{\|\bar{P}_i d_i\|}{k_i^{\rho_i}})^2] \quad (46)$$

可知,对于任意 $k_i \ge 1$,

$$\dot{V}_{\hat{\epsilon}_{i}} \leqslant -\zeta_{k_{i}} V_{\hat{\epsilon}_{i}} + \delta_{k_{i}} + \frac{2N \left\| \bar{P}_{i} \right\|^{2}}{k_{i}^{2\rho_{i}-1}} \sum_{j=1}^{N} \left\| f_{ij}(t, y_{j}) \right\|^{2}$$

$$(47)$$

成立. 此外, 因 $P_i = (\Psi_i^{-1})^{\mathrm{T}} \bar{P}_i \Psi_i^{-1}$, 由式(41)–(43)得 $V_{\epsilon_i} = \epsilon_i^{\mathrm{T}} P_i \epsilon_i = \hat{\epsilon}_i^{\mathrm{T}} \bar{P}_i \hat{\epsilon}_i = V_{\hat{\epsilon}_i}.$ (48)

下面给出如下定理:

定理1考虑由式(15)(24)(32)–(34)所构成的闭 环系统以及由式(37)所定义的Lyapunov函数. \diamond_{ς_i} 和 $K_{i,0}$ 为大于零的常数. 则对于 $V(0) \leq \varsigma (= \sum_{i=1}^{N} \varsigma_i),$ $y_{ri}^2 + \dot{y}_{ri}^2 + \ddot{y}_{ri}^2 \leq K_{i,0}, i = 1, \cdots, N,$ 通过选择恰当的 设计参数 $k_i, l_{i,1}, \cdots, l_{i,\rho_i}, \tau_{i,1}, \cdots, \tau_{i,\rho_i}, \eta_i \eta \gamma_{\nu_i},$ 整 个闭环系统的所有信号一致有界. 此外, 系统跟踪误 差满足

$$\lim_{t \to \infty} |S_{i,1}| \leq \lim_{t \to \infty} \sqrt{2V_i} \leq \sqrt{\frac{C_i}{\zeta_i}}, \ i = 1, \cdots, N,$$
(49)

其中ζ_i为大于零的常数,

$$C_{i} = \frac{1}{2} [\|d_{i,1}\|^{2} + (\frac{\beta_{i,n_{i}-\rho_{i}}}{2\alpha_{i}})^{2} + (\rho_{i}-1)\sigma_{i} + \beta_{i,n_{i}-\rho_{i}}\eta_{i}\nu_{i}^{*2} + 2\delta_{k_{i}}] + H_{i}, \ i = 1, \cdots, N, \quad (50)$$

 σ_i, ζ_i 为可调正设计参数, H_i 将在接下来的定理证明 过程中给出, 使得 $\lim_{t\to\infty} |S_{i,1}|$ 可以任意小. 另外, 若将 滤波器式(8)–(10)和调参律式(22)的初始条件置为零, 并且令 $\xi_{i,(0,1)}(0) = y_i(0), y_{ri}(0) = y_i(0), 则 V_i(t)$ 的 上界为

$$V_{i}(t) \leq \frac{C_{i}}{2\zeta_{i}} + \frac{1}{k_{i}^{2}}\lambda_{\max}(\bar{P}_{i})\|\epsilon_{i}(0)\|^{2}, \ i = 1, \cdots, N.$$
(51)

证 定义如下紧集:

$$\Omega_{i,1} = \{ (y_{ri}, \dot{y}_{ri}, \ddot{y}_{ri}) : y_{ri}^{2} + \dot{y}_{ri}^{2} + \ddot{y}_{ri}^{2} \leqslant K_{i,0} \},
\Omega_{i,2} = \{ \sum_{q=1}^{\rho_{i}} S_{i,q}^{2} + \sum_{q=2}^{\rho_{i}} \bar{y}_{i,q}^{2} + \beta_{i,n_{i}-\rho_{i}} \tilde{\nu}_{i}^{2} + 2\epsilon_{i}^{\mathrm{T}} P_{i} \epsilon_{i} \leqslant 2\varsigma_{i} \}.$$
(52)

显然, $\Omega_{i,1} \times \Omega_{i,2}$ 也为一个紧集. 因此式(35)-(36)中的连续函数 $B_{i,q+1}$, 在紧集 $\Omega_{i,1} \times \Omega_{i,2}$ 上存在最大值,称作 $M_{i,q+1}$. 由式(34)-(36)可得

$$\bar{y}_{i,q+1} \dot{\bar{y}}_{i,q+1} \leqslant -\frac{\bar{y}_{i,q+1}^2}{\tau_{i,q+1}} + B_{i,q+1} |\bar{y}_{i,q+1}|,$$

$$|\bar{y}_{i,q+1}| B_{i,q+1} \leqslant |\bar{y}_{i,q+1}| M_{i,q+1} \leqslant \frac{\bar{y}_{i,q+1}^2 M_{i,q+1}^2}{2\sigma_i} + \frac{\sigma_i}{2},$$

$$(53)$$

其中: $q = 1, \dots, \rho_i - 1, \sigma_i$ 为正设计常数. 又根据假 设2, 存 在 常 数 $\kappa_{ij} = O(\bar{\kappa}_{ij}), i = 1, \dots, N, j = 1, \dots, N$ (详见文献[7]), 使得

$$\frac{N}{2} \sum_{j=1}^{N} \|f_{ij,1}(t, y_j)\|^2 + \frac{2N \|\bar{P}_i\|^2}{k_i^{2\rho_i - 1}} \sum_{j=1}^{N} \|f_{ij}(t, y_j)\|^2 \leqslant \sum_{j=1}^{N} \kappa_{ij} \psi_j(y_j)$$
(54)

成立.

考虑式(32)-(34)(52)-(54),可得

$$\begin{split} V_{i} \leqslant \\ -(l_{i,1} - \frac{2 + k_{i}^{2}}{2} - \beta_{i,n_{i} - \rho_{i}} k_{i}) S_{i,1}^{2} - \\ (\frac{k_{i}}{\lambda_{\max}(\bar{P}_{i})} - \frac{1}{2\lambda_{\min}(\bar{P}_{i})}) V_{\epsilon_{i}} - (\frac{1}{\tau_{i,2}} - \frac{M_{i,2}^{2}}{2\sigma_{i}} - \\ \frac{\beta_{i,n_{i} - \rho_{i}} k_{i}}{2}) \bar{y}_{i,2}^{2} - \frac{\beta_{i,n_{i} - \rho_{i}} \eta_{i}}{2} \tilde{\nu}_{i}^{2} - \zeta_{k_{i}} V_{\epsilon_{i}} - \end{split}$$

$$\beta_{i,n_{i}-\rho_{i}}l_{i}^{*}S_{i,1}^{2}\phi(S_{i,1})\psi_{i}^{2}(y_{i}) + \sum_{j=1}^{N}\kappa_{ij}\psi_{j}(y_{j}) - \left(\frac{1}{\tau_{i,3}} - \frac{M_{i,3}^{2}}{2\sigma_{i}} - \frac{k_{i}}{2}\right)\bar{y}_{i,3}^{2} - \frac{\beta_{i,n_{i}-\rho_{i}}k_{i}}{2}S_{i,2}^{2} + \frac{k_{i}}{2}S_{i,3}^{2} + \frac{\sigma_{i}}{2} + \sum_{q=3}^{\rho_{i}-1}\left[-(l_{i,q} - \frac{3k_{i}}{2})S_{i,q}^{2} - \left(\frac{1}{\tau_{i,q+1}} - \frac{M_{i,q+1}^{2}}{2\sigma_{i}} - \frac{k_{i}}{2}\right)\bar{y}_{i,q+1}^{2} + \frac{k_{i}}{2}S_{i,3}^{2} + \frac{\sigma_{i}}{2} + \sum_{q=3}^{\rho_{i}-1}\left[-(l_{i,q} - \frac{3k_{i}}{2})S_{i,q}^{2} - \left(\frac{1}{\tau_{i,q+1}} - \frac{M_{i,q+1}^{2}}{2\sigma_{i}} - \frac{k_{i}}{2}\right)\bar{y}_{i,q+1}^{2} - \frac{k_{i}}{2}S_{i,q}^{2} + \frac{k_{i}}{2}S_{i,q+1}^{2} + \frac{\sigma_{i}}{2}\right] - (l_{i,\rho_{i}} - \frac{k_{i}}{2})S_{i,\rho_{i}}^{2} - \frac{k_{i}}{2}S_{i,\rho_{i}}^{2} + \frac{1}{2}(d_{i,1}^{2} + \frac{\beta_{i,n_{i}-\rho_{i}}}{\alpha_{i}} + \sigma_{i} + \beta_{i,n_{i}-\rho_{i}}\eta_{i}\nu_{i}^{*2} + 2\delta_{k_{i}}), \ i = 1, \cdots, N.$$

$$(55)$$

$$\sum_{i=1}^{N} \left[-\beta_{i,n_{i}-\rho_{i}} l_{i}^{*} S_{i,1}^{2} \phi(S_{i,1}) \psi_{i}^{2}(y_{i}) + \sum_{j=1}^{N} \kappa_{ij} \psi_{j}(y_{j}) \right] \leqslant \sum_{i=1}^{N} \left[-\beta_{i,n_{i}-\rho_{i}} l_{i}^{*} S_{i,1}^{2} \phi(S_{i,1}) + \sum_{j=1}^{N} \kappa_{ij} \right] \psi_{i}^{2}(y_{i}).$$
(56)

令 $\beta_{i,n_{i}-\rho_{i}}l_{i}^{*} \ge \sum_{j=1}^{N} \kappa_{ij}, h_{i} = S_{i,1}^{2}\phi(S_{i,1})\psi_{i}^{2}(y_{i}) + \psi_{i}^{2}(y_{i}), 由于式(21)对\phi(\cdot)的定义表明h_{i}是有界的, 则$ $<math display="block">\sum_{i=1}^{N} [-\beta_{i,n_{i}-\rho_{i}}l_{i}^{*}S_{i,1}^{2}\phi(S_{i,1})\psi_{i}^{2}(y_{i}) + \sum_{j=1}^{N} \kappa_{ij}\psi_{j}(y_{j})] \leqslant \sum_{i=1}^{N} l_{i}^{*}h_{i} \leqslant \sum_{i=1}^{N} H_{i},$ (57)

其中 H_i 为 $l_i^*h_i(i = 1, \dots, N)$ 的上界. 通过选取如下 设计参数:

$$\begin{cases} k_{i} \geq 2\lambda_{\max}(\bar{P}_{i})\zeta_{i} + \frac{\lambda_{\max}(P_{i})}{\lambda_{\min}(\bar{P}_{i})}, \\ l_{i,1} \geq \frac{2+k_{i}^{2}}{2} + \beta_{i,n_{i}-\rho_{i}} + \zeta_{i}, \\ l_{i,2} \geq k_{i} + \frac{\beta_{i,n_{i}-\rho_{i}}k_{i}}{2} + \zeta_{i}, \ l_{i,q} \geq \frac{3k_{i}}{2} + \zeta_{i}, \\ \frac{1}{\tau_{i,2}} \geq \frac{M_{i,2}^{2}}{2\sigma_{i}} + \frac{\beta_{i,n_{i}-\rho_{i}}k_{i}}{2} + \zeta_{i}, \ \eta_{i} \geq \frac{2\zeta_{i}}{\gamma_{\nu_{i}}}, \\ \frac{1}{\tau_{i,q+1}} \geq \frac{M_{i,q+1}^{2}}{2\sigma_{i}} + \frac{k_{i}}{2} + \zeta_{i}, \ q = 2, \cdots, \rho_{i} - 1. \end{cases}$$
(58)

将式(56)(58)代入式(38)可得

$$\dot{V}_i \leqslant -2\zeta_i V_i + C_i, \ i = 1, \cdots, N,$$
 (59)

其中

$$C_i \!=\! \frac{1}{2} (d_{i,1}^2 \!+\! \frac{\beta_{i,n_i-\rho_i}}{\alpha_i} \!+\! (\rho_i \!-\! 1) \sigma_i \!+\! 2\delta_{k_i} \!+\! \beta_{i,n_i-\rho_i} \eta_i \nu_i^{*2}).$$

由式(37)可知

$$\dot{V} \leqslant -2\zeta V + C,\tag{60}$$

其中:

$$\zeta = \min{\{\zeta_i\}}, \ i = 1, \cdots, N,$$

 $C = \sum_{i=1}^{N} C_i,$ (61)

令 $\zeta \ge C/2\varsigma$,则当 $V = \varsigma$ 时, $\dot{V} \le 0$,这也意味着 $V \le \varsigma$ 为一个不变集.因此对于给定的 $V(0) \le \varsigma$,当 $t \ge 0$ 时, $V(t) \le \varsigma$ 恒成立.

由上述分析可知, 对于任意初始条件, 通过选择 合适的设计参数 k_i , $l_{i,1}$, \cdots , l_{i,ρ_i} , $\tau_{i,1}$, \cdots , τ_{i,ρ_i} , η_i 和 γ_{ν_i} , $i = 1, \dots, N$, V有界. 因此 $S_{i,1}$, \cdots , S_{i,ρ_i} , $\bar{y}_{i,2}$, \cdots , y_{i,ρ_i} , $\tilde{\nu}_i$, ϵ_i 一致有界. 由 $S_{i,1}$, y_{ri} 有界可知, $y_i = x_{i,1}$ 有界. 由于 y_i 和 $\Phi_i(y_i)$ 是有界的, 根据式(9)–(10) 可推得 $\xi_{i,0}$, Ξ_i 有界. 由式(8)可知

$$v_{i,q} = (sI - k_i A_{i,0})^{-1} e_{n_i, n_i - q} k_i^{1+q-n_i} u_i,$$

$$q = 0, 1, \cdots, n_i - \rho_i,$$
(62)

则

$$v_{i,(n_i-\rho_i,1)} = G_{\rho_i}(s)u_i, \ v_{i,(n_i-\rho_i,\rho_i+1)} = G_{\rho_i+1}(s)u_i,$$
(63)

其中 $G_{\rho_i}(s)$ 和 $G_{\rho_i+1}(s)$ 分别为相对阶为 ρ_i 和 $\rho_i + 1$ 的 稳定的传递函数. 将 y_i 分别通过 $s^{n_i}G_{\rho_i}(s)/N_i(s)$ 和 $s^{n_i}G_{\rho_i+1}(s)/N_i(s)$ 可得,

$$\begin{split} \frac{s^{n_i}G_{\rho_i}(s)}{N_i(s)}y_i &= \sum_{j=1}^{n_i} \frac{s^{n_i-j}G_{\rho_i}(s)}{N_i(s)} (\varPhi_{i,j}(y_i)\theta_{i,j} + \\ &\sum_{q=1}^N f_{iq,j}(t,y_j) + d_{i,j}(t)) + \\ &G_{\rho_i}(s)u_i + \frac{G_{\rho_i}F[u_i](t)}{\lambda_i}, \\ \frac{s^{n_i}G_{\rho_i}(s)}{N_i(s)}y_i &= \sum_{j=1}^{n_i} \frac{s^{n_i-j}G_{\rho_i}(s)}{N_i(s)} (\varPhi_{i,j}(y_i)\theta_{i,j} + \\ &\sum_{q=1}^N f_{iq,j}(t,y_j) + d_{i,j}(t)) + \\ &G_{\rho_i+1}(s)u_i + \frac{G_{\rho_i+1}F[u_i](t)}{\lambda_i}. \end{split}$$

由于 y_i , $\Phi_{i,j}(y_i)$, $F[u_i](t)/\lambda_i$ 是有界的, 且 $G_{\rho_i}(s)u_i$ 和 $G_{\rho_i+1}(s)u_i$ 有界. 根据式(62)可以得出 $v_{i,(n_i-\rho_i,1)}$ 和 $v_{i,(n_i-\rho_i,\rho_i+1)}$ 是有界的. 而 \bar{y}_{ρ_i} 的有界性保证了 $\dot{z}_{\rho_i} = -\bar{y}_{\rho_i}/\tau_{\rho_i}$ 的有界性, 由式(30)得出 u_i 有界; 因此, 式(8) 中的 $v_{i,q}$, $i = 1, \dots, N, q = 1, \dots, \rho_i$ 有界. 再由式 (12)-(13)可得 x_i 有界. 从而, 闭环系统所有信号一致 有界. 又根据式(59)得

$$V_i(t) \leqslant \frac{C_i}{2\zeta_i} + (V_i(0) - \frac{C_i}{2\zeta_i})e^{-2\zeta_i t}, \qquad (64)$$

从而

$$\lim_{t \to \infty} V_i(t) \leqslant \frac{C_i}{2\zeta_i},\tag{65}$$

$$\lim_{t \to \infty} |S_{i,1}| \leqslant \sqrt{\frac{C_i}{\zeta_i}}.$$
(66)

需说明的是通过选定 η_i 和 γ_{ν_i} 使得 $\eta_i \ge 2\zeta_i / \gamma_{\nu_i}$. 当 ζ_i 充分大时,各个子系统跟踪误差可以任意小.

另外, 令 $y_{ri}(0) = y_i(0)$, 则由式(15)可得

$$S_{i,1}(0) = 0. (67)$$

根据定理1的假设条件及式(20), $\bar{v}_{i,(n_i-\rho_i,2)}(0) = 0$; 由式(23), $z_{i,2}(0) = 0$, $\dot{z}_{i,2}(0) = 0$.则由式(24)(26)可得, $S_{i,2}(0) = 0$, $\bar{v}_{i,(n_i-\rho_i,3)}(0) = 0$.同理,可得

$$S_{i,q}(0) = 0, \ q = 3, \cdots, \rho_i.$$
 (68)

根据式(31)可得

$$\bar{y}_{i,q}(0) = 0, \ q = 2, \cdots, \rho_i.$$
 (69)

由式(59)(65)(69)和
$$\eta_i \ge 2\zeta_i / \gamma_{\nu_i}$$
,可得

$$V_{i}(0) = \frac{1}{2} \left(\sum_{q=1}^{\mu_{i}} S_{i,q}^{2}(0) + \sum_{q=1}^{\mu_{i}} \bar{y}_{i,q+1}^{2}(0) + \frac{\beta_{i,n_{i}-\rho_{i}}\tilde{\nu}_{i}^{2}(0)}{2\gamma_{\nu_{i}}} + V_{\epsilon_{i}}(0) \right) = \frac{\beta_{i,n_{i}-\rho_{i}}\tilde{\nu}_{i}^{2}(0)}{2\gamma_{\nu_{i}}} + V_{\epsilon_{i}}(0) \leqslant \frac{\beta_{i,n_{i}-\rho_{i}}\eta_{i}}{4\zeta_{i}}\nu_{i}^{*2} + V_{\epsilon_{i}}(0) \leqslant \frac{C_{i}}{2\zeta_{i}} + V_{\epsilon_{i}}(0).$$
(70)

由 式(12), 若 $v_{i,q}$, $q = 0, \dots, n_i - \rho_i$, $\Xi_i(0) = 0, i = 1, \dots, N$, 则 $\xi_{i,(0,1)}(0) = \hat{x}_{i,1}(0)$. 因此, 由式(13), 若 $\xi_{i,(0,1)}(0) = y_i(0) = x_{i,1}(0)$, 则

$$\epsilon_{i,1}(0) = 0, \tag{71}$$

同时也说明 $\Psi_i^{-1}\epsilon_i(0) = \text{diag}\{1, 1/k_i, \cdots, 1/k_i^{n_i-1}\}$ $\epsilon_i(0)$. 由于 $k_i \ge 1$, 则

$$\|\Psi_i^{-1}\epsilon_i(0)\| \leqslant \|\epsilon_i(0)\|.$$
(72)

因此, $V_i(t)$ 的上界为

$$V_i(t) \leqslant \frac{C_i}{2\zeta_i} + \frac{\lambda_{\max}(P_i) \|\epsilon_i(0)\|}{k_i^2}.$$
 (73)

证毕.

5 仿真算例(Simulation results)

考虑如下由4个子系统构成的内联系统:

$$\begin{cases} \dot{x}_{1} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x_{1} + \begin{pmatrix} 2y_{1} & y_{1}^{2} \\ 0 & y_{1} \end{pmatrix} \theta_{1} + \\ \begin{bmatrix} 0 \\ 1 \end{bmatrix} w_{1} + \sum_{j=1}^{4} f_{1j}(t, y_{j}) + d_{1}(t), \\ y_{1} = x_{1,1}, \end{cases}$$
(74)

第10期

$$\begin{cases} \dot{x}_{2} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x_{2} + \begin{pmatrix} 0 & 0 \\ 0.5y_{2} & 1+y_{2} \end{pmatrix} \theta_{2} + \\ \begin{bmatrix} 0 \\ 1 \end{bmatrix} w_{2} + \sum_{j=1}^{4} f_{2j}(t, y_{j}) + d_{2}(t), \qquad (75) \\ y_{2} = x_{2,1}, \end{cases}$$

$$\begin{cases} \dot{x}_{3} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x_{3} + \begin{pmatrix} 0 & 0 \\ y_{3} & 1+y_{3} \end{pmatrix} \theta_{3} + \\ \begin{bmatrix} 0 \\ 1 \end{bmatrix} w_{2} + \sum_{j=1}^{4} f_{3j}(t, y_{j}) + d_{3}(t), \qquad (76) \\ y_{3} = x_{3,1}, \end{cases}$$

$$\begin{cases} \dot{x}_{4} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x_{4} + \begin{pmatrix} 0 & 0 \\ y_{4} & 1+y_{4} \end{pmatrix} \theta_{4} + \\ \begin{bmatrix} 0 \\ 1 \end{bmatrix} w_{4} + \sum_{j=1}^{4} f_{4j}(t, y_{j}) + d_{4}(t), \qquad (77) \\ y_{4} = x_{4,1}, \end{cases}$$

其中: $\theta_1 = [1 \ 1]^T$, $\theta_2 = \theta_3 = \theta_4 = [0.5 \ 1]^T$, 磁滞环 节为未知类间隙磁滞模型(backlash-like), 由本文中 的式(2)给出, 且 $\lambda_i = 0.9$, $\alpha_i = 1$, $\psi_i = 0.3$, i = 1, ..., 4. 各个子系统间的相互作用关系为:

$$f_{11}(t, y_1) = \begin{bmatrix} 0\\ \sin y_1 \end{bmatrix}, f_{12}(t, y_2) = \begin{bmatrix} y_2^2\\ 0 \end{bmatrix},$$

$$f_{13}(t, y_3) = \begin{bmatrix} 0.1y_3^2\\ 0 \end{bmatrix}, f_{14}(t, y_4) = \begin{bmatrix} 0.1y_4\\ 0 \end{bmatrix},$$

$$f_{21}(t, y_1) = \begin{bmatrix} 0.2y_1^2\\ 0 \end{bmatrix}, f_{22}(t, y_2) = \begin{bmatrix} \sin y_2\\ 0 \end{bmatrix},$$

$$f_{23}(t, y_3) = \begin{bmatrix} 0.2y_3^2\\ 0 \end{bmatrix}, f_{24}(t, y_4) = \begin{bmatrix} 0.2y_4^2\\ 0 \end{bmatrix},$$

$$f_{31}(t, y_1) = \begin{bmatrix} 0\\ 0.3y_1^2 \end{bmatrix}, f_{32}(t, y_2) = \begin{bmatrix} 0.1\cos y_2\\ 0 \end{bmatrix},$$

$$f_{33}(t, y_3) = \begin{bmatrix} 0\\ \sin y_3 \end{bmatrix}, f_{34}(t, y_2) = \begin{bmatrix} 0.1y_4\\ 0 \end{bmatrix},$$

$$f_{41}(t, y_1) = \begin{bmatrix} 0.2y_1^2\\ 0 \end{bmatrix}, f_{42}(t, y_2) = \begin{bmatrix} \sin y_2\\ 0 \end{bmatrix},$$

$$f_{43}(t, y_1) = \begin{bmatrix} 0.2y_3^2\\ 0 \end{bmatrix}, f_{44}(t, y_2) = \begin{bmatrix} \sin y_2\\ 0 \end{bmatrix},$$

$$f_{43}(t, y_1) = \begin{bmatrix} 0.2y_3^2\\ 0 \end{bmatrix}, f_{44}(t, y_2) = \begin{bmatrix} 0.2y_4^2\\ 0 \end{bmatrix}.$$
Simutational particupants of the term of term

$$d_1(t) = \begin{bmatrix} 0.5 \cos t \\ 0.2 \sin t \end{bmatrix}, \ d_2(t) = \begin{bmatrix} 0 \\ 0.2 \sin(2t) \end{bmatrix},$$
$$d_3(t) = \begin{bmatrix} 0 \\ 0.1 \cos(2t) \end{bmatrix}, \ d_4(t) = \begin{bmatrix} 0.1 \sin(2t) \\ 0.2 \cos(2t) \end{bmatrix}$$

控制目标是使输出 y_1, y_2, y_3, y_4 跟踪对应的参考信 号 $y_{r1} = 2\sin(1.5t), y_{r2} = 2\sin(0.5t), y_{r3} = \sin(0.5t)$ 和 $y_{r4} = \sin(0.5t)$. 基于论文中式(8)–(10), 高增益K– 观测器为如下形式:

$$\begin{split} \dot{v}_{i,0} &= k_i A_{i,0} v_{i,0} + \Psi_i^{-1} e_{2,0} u_i, \ v_{i,0} = 0, \\ \dot{\xi}_{i,0} &= k_i A_{i,0} \xi_{i,0} + k_i q_i y_i, \ \xi_{i,0} = 0, \\ \dot{\Xi}_i &= k_i A_{i,0} \Xi_i + \Psi_i^{-1} \Phi_i(y_i), \ \Xi_i(0) = 0, \end{split}$$

其中:

$$\begin{split} l_{1,1} &= 10, \ l_{2,1} = l_{3,1} = l_{4,1} = 2, \\ l_{1,2} &= 10, \ l_{2,2} = l_{3,2} = l_{4,2} = 2, \\ l_1^* &= 1.25, \ l_2^* = 0.3, \ l_3^* = l_4^* = 0.5, \\ \psi_1(y_1) &= 1 + y_1^2, \ \psi_2(y_2) = 2.1 + y_2^2, \\ \psi_3(y_3) &= 1 + 0.5y_3^2, \ \psi_4(y_4) = 0.6y_4^2, \\ \gamma_{\nu_i} &= 2, \ \eta_i = 0.1, \ \tau_{1,2} = 0.01, \\ \tau_{2,2} &= \tau_{3,2} = \tau_{4,2} = 0.02. \end{split}$$

仿真中系统状态的初始条件为 $x_{1,1}(0) = x_{1,2}(0) = x_{2,1}(0) = x_{2,2}(0) = x_{3,1}(0) = x_{3,2}(0) = x_{4,1}(0) = x_{4,2}(0) = 0.1; 在调参律中: <math>\hat{\nu}_i(0) = 0, i = 1, 2, 3, 4.$ 图1(a)-1(d)分别给出了4个子系统的跟踪误差、控制信号以及磁滞输出仿真结果.图1表明第系统尽管存在磁滞现象、外界扰动及系统间的相互作用,但在笔者所提出的控制策略下,通过合理选择设计参数后,具有理想的跟踪效果.









图 4 4个子系统的磁滞输出 Fig. 4 Hysteresis outputs of the whole system

注4 在本文中笔者将磁滞环节分成线性和有界扰动 两部分来处理(见式(2)-(3)),因此,磁滞环节的引入相当于引 入一个外部有界扰动,必然使得控制系统的跟踪误差精度变 坏(仿真结果见图5),但由于本文设计的控制器具有鲁棒性, 因此可通过提高反馈增益*l*_{1,1},*h*_{2,1},*h*_{3,1},*h*_{4,1}来提高跟踪误 差精度,如当*l*_{1,1} = 10时,在有、无磁滞输入两种情况下第 1个子系统的跟踪误差如图5所示.从图5可以看出无磁滞输 入是系统的跟踪误差精度比有磁滞输入时要好,然而通过增 大反馈增益系数*l*_{1,1} = 30后,如图6所示,可提高跟踪误差精 度.



图 5 有、无磁滞输入两种情况下第1个子系统的跟踪误差 Fig. 5 Tracking errors of subsystem 1 with and without hysteresis inputs





6 结论(Conclusions)

本文针对具有类间隙磁滞输入的互联系统,给出 了分布自适应输出反馈动态面控制方案,并设计了高 增益*K*--观测器.所提出的方案简化了控制器的复杂 度,保证了互联系统中各个子系统跟踪性能指标;引 入了一种高增益观测器,保证了互联系统中各个子系 统跟踪误差可以收敛到任意小.此外,由于采用估计 未知参数向量的范数来代替估计未知参数地向量,极 大地减轻了系统的计算负担.

参考文献(References):

- IOANNOU P. Decentralized adaptive control of interconnected systems [J]. *IEEE Transactions on Automatic Control*, 1986, 31(4): 291 – 298
- [2] KRSTIC M, KANELLAKOPOULOS I, KOKOTOVIC P V. Nonlinear and Adaptive Control Design [M]. New York: Wiley, 1995.
- [3] MIRKIN B M, GUTMAN P O. Decentralized ouput-feedback MRAC of state delay systems [J]. *IEEE Transactions on Automatic Control*, 2003, 48(9): 1613 – 1619.
- WEN C. Decentralized adaptive regulation [J]. *IEEE Transactions* on Automatic Control, 1994, 39(10): 2163 – 2166.
- [5] JIANG Z P. Decentralized and adaptive nonlinear tracking of largescale systems via output feedback [J]. *IEEE Transactions on Automatic Control*, 2000, 45(11): 2122 – 2128.
- [6] JAIN S, KHORRAMI F. Decentralized adaptive output feedback design for large-scale nonlinear systems [J]. *IEEE Transactions on Automatic Control*, 1997, 42(5): 729 – 735.
- [7] ZHOU J, WEN C. Decentralized backstepping adaptive output tracking of interconnected nonlinear systems [J]. *IEEE Transactions on Automatic Control*, 2008, 53(10): 2378 – 2384.
- [8] MITTAL S, MENG C H. Hysteresis compensation in electromagnetic actuators through perisach model inversion [J]. *IEEE Transactions on Mechatronics*, 2000, 5(4): 394 – 409.
- [9] TAN X B, BARAS J S. Modeling and control of hysteresis in magnetostrictive actuators [J]. *Automatica*, 2004, 40(9): 1469 – 1480.
- [10] ZHANG X, LIN Y. A robust adaptive dynamic surface control for a class of nonlinear systems with unknown prandtl-ishlinskii hystere-

sis [J]. International Journal of Robust and Nonlinear Control, 2011, 21(13): 1541 – 1561.

- [11] ZHANG X, LIN Y. A robust adaptive dynamic surface control for nonlinear systems with hysteresis input [J]. Acta Automatica Sinica, 2010, 36(9): 1264 – 1271.
- [12] TAO G, KOKOTOVIC P V. Adaptive control of plants with unknown hystereses [J]. *IEEE Transactions on Automatic Control*, 1995, 40(2): 200 – 212.
- [13] LI C, TAN Y. Neural model-based adaptive control for systems with unknown Preisach-type hysteresis [J]. *Journal of Control Theory and Applications*, 2004, 2(1): 51 – 59.
- [14] LIU L, TAN K K, PUTRA A S, et al. Compensation of hysteresis in piezoelectric actuator with iterative learning control [J]. *Journal of Control Theory and Applications*, 2010, 8(2): 176 – 180.
- [15] TAO G, LEWIS F L. Adaptive Control of Nonsmooth Dynamic System [M]. New York : Springer-Verlag, 2001.
- [16] LYER R V, TAN X B, KRISHAPRASAD P S. Approximate inversion of the preisach hysteresis operator with application to control of smart actuators [J]. *IEEE Transactions on Automatic Control*, 2005, 50(6): 798 – 809.
- [17] WEN C, ZHOU J. Decentralized adaptive stabilization in the presence of unknown backlash-like hysteresis [J]. *Automatica*, 2007, 43(3): 426 – 440.
- [18] KRSIC M, KANELLAKOPOULOS I, KOKOTOVIC P V. Nonlinear and Adaptive Control Design [M]. New York: Wiley-Interscience Publication, 1995.
- [19] CHEN B, LIU X P, LIU K F, et al. Novel adaptive neural control design for nonlinear MIMO time-delay systems [J]. *Automatica*, 2009, 45(6): 1554 – 1560.
- [20] ESFANDIARI F, KHALIL H K. Output feedback stabilization of fully linearizable systems [J]. *International Journal of Control*, 1992, 56(5): 1007 – 1037.
- [21] KANELLAKOPOULOS I, KOKOTOVIC P V, MORSE A S. Systematic design of adaptive controllers for feedback linearizable systems [J]. *International Journal of Control*, 1991, 36(11): 1241 – 11253.
- [22] ZHOU J, WEN C Y, ZHANG Y. Adaptive output control of nonlinear systemes with uncertain dead-zone nonlinearity [J]. *IEEE Transactions on Automatic Control*, 2006, 51(3): 504 – 511.
- [23] ZHOU J, ZHANG C J, WEN C Y. Robust adaptive output control of uncertain nonlinear plants with unknown backlash nonlinearity [J]. *IEEE Transactions on Automatic Control*, 2007, 52(3): 503 – 509.
- [24] ZHANG X, LIN Y. Adaptive control for a class of nonlinear timedelay systems preceded by unknown hysteresis [J]. *International Journal of Systems Science*, 2013, 44(8): 1468 – 1482.
- [25] SEZER M E, SILJAK D D. On decentralized stabilization and structure of linear large scale systems [J]. Automatica, 1981, 17(4): 641 – 644.

作者简介:

王建国 (1963-), 男, 教授, 主要研究方向为检测技术与故障诊断, E-mail: wjg01@mail.jl.cn;

张秀宇 (1980--), 男, 博士, 研究方向为鲁棒自适应控制, E-mail: zhangxiuyu80@163.com;

林 岩 (1955–), 男, 教授, 博士生导师, 研究方向为鲁棒自适应 控制, E-mail: linyanee2@yahoo.com.cn.